FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, I	D.C. 20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Gage Brodie</u>						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									k all app Direct	licable) tor	rting Person(s) to Iss 10% Ow le Other (s		wner	
(Last) (First) (Middle) C/O CIENA CORPORATION					3. Date of Earliest Transaction (Month/Day/Year) 03/20/2024									X	below	er (give title v) Global Product		below)	·	
7035 RIDGE RD.					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) HANOV	ER MI	D 2											X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(St	ate) (2	Zip)		Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.															
		Table	I - No	n-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	or B	enefi	cially	Own	ed				
1. Title of Security (Instr. 3)			2. Transac Date (Month/Da		Exec if any	A. Deemed xecution Date, any lonth/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			3, 4 and Secu Bene Own		Amount of curities neficially ned Following		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) o (D)	Pric	e	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common Stock				03/20/2024				F		257(1)	D	\$5	50.22		3,744 <sup>(2)</sup>		D			
Common Stock				03/20/2024				F		312(3)	D	\$5	0.22 43,4		,432(2)		D			
Common	mon Stock 03/20/2			2024				F		212(4)	D	\$5	50.22 43		,220(2)		D			
Common	Stock			03/20/2	2024				F		442(5)	D	\$5	0.22	42,	778(2)		D		
Common	Stock			03/20/2	2024				F		204(6)	D	\$5	0.22	42,	574(2)		D		
Common Stock 03/20				03/20/2	2024				F		669 <sup>(7)</sup>	D	\$5	0.22 41		,905(2)		D		
		Tal									osed of, o				Owne	t				
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date curity or Exercise (Month/Day/Year) if any			ion Date,	4. Transaction Code (Instr. 8)		5. Number of		6. Date Exerc Expiration Da (Month/Day/Y		te	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Der Sed (Ins		9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	y G	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code			Date Exercis	able	Expiration 0		Amoun or Numbe of Shares	r							

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 10/31/2023.
- 2. Shares reported include unvested Restricted Stock Units (RSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 2/1/2021. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 10/31/2023.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 10/31/2023.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 10/31/2023.
- 6. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 10/27/2023. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 filed on 10/31/2023.
- 7. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2023. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/14/2023.

By: Michelle Rankin For: 03/21/2024 **Brodie Gage** 

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.