Instruction 1(b).

FORM 4

Check this box if no longer subject

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	$D \subset$	20549	
vasilligion,	D.C.	20349	

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden

0.5

hours per response:

to Section 16. Form 4 or Form 5 obligations may continue. See

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* SMITH GARY B					2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ]									(Chec	ationship of Reportin ( all applicable) Director		10% Ow		wner	
	(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.			3. Date of Earliest Transaction (Month/Day/Year) 09/20/2021									X	belov	r (give title Other (spe ) Delow)  President, CEO			specily		
(Street) HANOV (City)	ER	MD State)	21076- (Zip)	1426	4. If A	Amend	ment, C	Date o	f Origina	al File	d (Month/Da	y/Year)		6. Indi Line) X	Form	Joint/Grou filed by On filed by Mo on	e Reportii	ng Pers	on	
		Ta	ble I - No	on-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	, or B	enefi	cially	own	ed				
1. Title of Security (Instr. 3)		Date	Date Ex (Month/Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Disposed Of Code (Instr. 8)		s Acquired (A) or of (D) (Instr. 3, 4 and		or and	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
									Code	v	Amount	(A) (D)	or Prio	e	Transa	eu ction(s) 3 and 4)			(Instr. 4)	
Common	Stock			09/20/2	2021			F		2,582(1)	D \$		1.68	316,748(2)		D				
Common	Stock			09/20/2	2021	!1		F		3,754(3)	D \$51		1.68	8 312,994(2)		D				
Common	Stock			09/20/2	09/20/2021				F		2,625(4)	D	\$5	\$51.68 31		310,369(2)				
Common	Stock			09/20/2	2021				F		2,125(5)	D	\$5	1.68	308	308,244(2)				
			Table II	- Derivati (e.g., pu							osed of, convertib				Owne	d				
1. Title of Derivative Security (Instr. 3)	2. Conversic or Exercis Price of Derivative Security	e (Month/Day/\	Execu ear) if any	eemed Ition Date, h/Day/Year)	4. Transa Code (I 8)		5. Nur of Deriva Secur Acqui (A) or Dispo of (D) (Instr. and 5	ative ities red sed	6. Date Expirat (Month	ion Da		7. Title Amou Secur Under Deriva Secur 3 and	nt of ities lying ative ity (Insti	Del Sec (Ins	Price of rivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Own For Dire or I (I) (I	nership n: ect (D) ndirect nstr. 4)	11. Nature of Indirect Benefical Ownership (Instr. 4)	

## **Explanation of Responses:**

1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2019. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2019.

(A) (D) Exercisable

Date

Title Shares

- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/18/2018. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2018.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/18/2020.

By: Michelle Rankin For: 09/21/2021 Gary B. Smith

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.