FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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| , | OMB Number: | 3235-0287 | | | | | | |
| | Estimated average burden | | | | | | | |

hours per response:

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | | or : | Section | on 30(n) | or the i | nvestme | nt Coi | mpany Act (| or 19 | 40 | | | | | | | |
|---|---|---------------|-------|--|-----------------|--|---|----------|---|------------------|--|---|-------|---|---|--|---|--|---|--|--|
| 1. Name and Address of Reporting Person* <u>ALEXANDER STEPHEN B</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | | |
| (Last) (First) (Middle) C/O CIENA CORPORATION 1201 WINTERSON ROAD | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/16/2013 | | | | | | | | | | X Officer (give title Other (specify below) Sr VP Chief Technology Officer | | | | | |
| (Street) LINTHIC | | MD State) | | 1090 (ip) | | - 4. If | Ame | endment | , Date o | of Origina | l Filed | I (Month/Da | ay/Ye | ear) | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | | | Table | e I - Noi | n-Deriv | ative | Se | curitie | s Acc | quired, | , Dis | posed o | f, o | r Ben | eficia | ally Ov | vned | | | | |
| Date | | | | | th/Day/Year) if | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Dispos | | Disposed | ities Acquired (A) d Of (D) (Instr. 3, 4 | | | nd Se Be | Securities Beneficially | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | | Code | v | Amount | | (A) or (D) | Price | Tra | ansact istr. 3 a | tion(s) | | (111501.4) | |
| Common | Stock | | | | 09/16 | 5/2013 | | | | S | | 3,500(1 | L) | D | \$25 | .51 | 1 163,858 ⁽²⁾ D | | | | |
| | | | Tal | | | | | | | | | sed of, onvertib | | | | y Own | ed | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversic or Exercis Price of Derivative Security | e (Month/Day/ | Year) | 3A. Deem Execution if any (Month/Da | Date, | | ransaction ode (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | 8. Price Derivati Security (Instr. 5 | ive di y S) B O F R Ti | 9. Number o derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | or Nur of | ount nber | | | | | | |

Explanation of Responses:

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 6/14/2012, as amended.
- 2. Shares reported include unvested Restricted Stock Units (RSUs).

By: Erik Lichter For: Stephen
B Alexander

09/17/2013

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.