FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>ALEXANDER STEPHEN B</u>						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN]										all app Dired			Owner	
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.				3. Date of Earliest Transaction (Month/Day/Year) 09/21/2016										X	Officer (give title Dibelow) be Sr VP Chief Technology			′ I		
(Street) HANOVER MD 21076-1426				26	4. If Amendment, Date of Original Filed (Month/Day/Year)										i. Indivine)	Forn Forn	fual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)					<u> </u>	tive Securities Acquired, Disposed of, or Benefic														
1. Title of Security (Instr. 3) 2. Transac Date (Month/Date)					action 2A. Dee Execution Day/Year) if any			med	3. Trans Code	3. Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4				5. Am Securi Benefi	ount of ities icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	Amount (A		Price	•	Transa	action(s) 3 and 4)		(111341.4)	
Common Stock 09/21/						5					760(1)		D	\$21	21.45 13		3,210 ⁽²⁾	D		
Common Stock 09/21/					/2016						412(3)		D	\$21.45		13	2,798(2)	D		
Common Stock 09/21/					/2016	/2016					615(4)		D	\$21.45		132,183 ⁽²⁾		D		
Common Stock 09/21/					/2016	/2016			S		478(5)		D	\$21	.45	131,705(2)		D		
		Та									sed of, onvertib					wned				
1. Title of Derivative Security (Instr. 3)			n Date, ay/Year)	Date, Transaction Code (Instr		of Deri Sec Acq (A) of Disp	oosed D) tr. 3, 4	6. Date I Expirati (Month/II	on Dat Day/Ye		Amount of Securities Underlying Derivative Security (Instr. and 4)		ount nber	nt er		9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

- 1. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/18/2012. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2012.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/17/2013. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2013.
- 4. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/17/2014. $Acquisition \ of the \ RSU \ was \ previously \ reported \ in \ Table \ I \ of \ reporting \ person's \ Form \ 4 \ on \ 12/19/2014.$
- 5. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/15/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/17/2015.

By: Michelle Griswold For: Stephen B. Alexander

09/22/2016

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.