FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|-----------|------------|---------------|-----------|
|           |            |               |           |

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     SMITH GARY B  |              |                   |  |   | 2. Issuer Name and Ticker or Trading Symbol  CIENA CORP [ CIEN ] |                |  |            |                          |  |  |                 | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |   |   |                                 |  |  |   |
|---|--------------|-------------------|--|---|--|----------------|--|------------|--------------------------|--|--|-----------------|---|---|---|---------------------------------|--|--|---|
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.  |              |                   |  | 3. Date of Earliest Transaction (Month/Day/Year) 07/16/2015 |  |                |  |            |                          |  |  |                 | X   | below)                                    |   | Other (s<br>below)<br>DENT, CEO |  | specify  |   |
| (Street)  | ÆR M         | ID .              | 21076-   | 1426  | - 4. l   | f Ame          | ndmei  | nt, Date ( | of Origin                | nal File   | ed (Month/D  | ay/Year)        |   | Indivi<br>ine)<br>X                       | Form f  | iled by One                     | e Rep  | g (Check Ap<br>orting Person<br>One Repo                           | n |
| (City)  | (S           | tate)             | (Zip)  |   |  |                |  | <u> </u>   |                          |  |  |                 |   |   |   |                                 |  |  |   |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da  |              |                   | ction  | ion 2A. Deemed Execution Date,                              |  | ned<br>n Date, | 3. 4. Securitie<br>Transaction<br>Code (Instr. |            |                          | es Acquired (A) or<br>Of (D) (Instr. 3, 4 and 5) |  |                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following                                 |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)   | n: Direct<br>r Indirect         | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                      |  |   |
|   |              |                   |  |   |  | , ,            | Code   | v          | Amount                   | (A) or (D)                                       | Price  | - 1             | Reporte<br>Transac<br>(Instr. 3   | tion(s)                                   |   |                                 | (Instr. 4)   |  |   |
| Common  | Common Stock |                   |  | 07/16/  | 2015   | 015            |  |            |                          |  | 4,800  | A               | \$1 <del>6</del> .  | 52 563                                    |   | ,891 <sup>(1)</sup>             |  | D  |   |
| Common  | Stock        |                   |  | 07/16/  | 2015   |                |  |            | S                        |  | 4,800(2)   | D               | \$25.1  | 835                                       | 559,  | ,091(1)                         |  | D  |   |
| Common Stock  |              |                   | 07/17/   | 7/17/2015   |  |                |  | M          |                          | 4,800  | A  | \$16.           | 52  | 563,                                      | ,891(1)   |                                 | D  |  |   |
| Common Stock  |              |                   | 07/17/   | 7/2015  |  |                |  | S          |                          | 4,800(2)   | D  | \$25.6          | 758 559   |   | ,091(1)   |                                 | D  |  |   |
|   |              | ,                 | Table II   |   |  |                |  |            |                          |  | posed of<br>converti   |                 |   |   | wned  |                                 |  |  |   |
| 1. Title of Derivative Security (Instr. 3)  2. Conversion Date (Month/Day/Year) Derivative Security |              | Executi<br>if any | A. Deemed<br>Execution Date,<br>f any<br>Month/Day/Year) |   | 4.<br>Transaction<br>Code (Instr.<br>8)                          |                | of   |            | Exerci<br>on Da<br>Day/Y |  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                 | Dei<br>Sed<br>(Ins  | Price of<br>rivative<br>curity<br>str. 5) | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | s<br>lly                        | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|   |              |                   |  |   | Code   | v              | (A)  |            | Date<br>Exercisa         | able   | Expiration<br>Date   | Title           | Amoun<br>or<br>Numbe<br>of<br>Shares  | r   |   |                                 |  |  |   |
| Non-<br>Qualified<br>Stock<br>Option<br>(right to<br>buy)   | \$16.52      | 07/16/2015        |  |   | М  |                |  | 4,800      | (3)                      |  | 11/02/2015   | Common<br>Stock | 4,800   |   | \$0.0   | 33,037                          | 7  | D  |   |
| Non-<br>Qualified<br>Stock  | \$16.52      | 07/17/2015        |  |   | M  |                |  | 4,800      | (3)                      |  | 11/02/2015   | Common          | 4,800   |   | \$0.0   | 28,237                          | 7  | D  |   |

## **Explanation of Responses:**

- 1. Shares reported include unvested Restricted Stock Units (RSUs).
- 2. Sales were effected pursuant to Rule 10b5-1 trading plan dated 4/1/2015.
- 3. Option is fully vested.

(right to buy)

> By: Erik Lichter For: Gary B. Smith

07/20/2015

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.