## FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*						2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
																X	Director		10% Owner		wner
																		er (give title		Other (spe	
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year) 09/20/2019											belov	ow) below) President, CEO			
C/O CIENA CORPORATION						09/20/2019												riesiue	ill, CEO		
7035 RIDGE RD.																					
-						4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Ap Line)					pplicable
(Street) HANOVER MD 21076-1426				20										Ι,	X	Form filed by One Reporting Person				on	
				26														n filed by Mor	, ,		
																	Pers			-	
(City)	(Si	tate) (	Zip)																		
		Tabl	e I - No	n-Deriv	ative	Se	curit	ies A	4cq	uired,	Dis	posed o	f, c	r Ben	efici	ally	Owne	ed			
Date					Pate			2A. Deemed Execution Date, if any (Month/Day/Year)			ction Instr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)				4 and Secur Bene Owne		cially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
										Code	v	Amount		(A) or (D)	Pric	е		ted action(s) 3 and 4)			(Instr. 4)
Common Stock 09/20/					/2019					F		2,625(1	L)	D	\$39.58		447,227(2)		D		
Common Stock 09/2					/20/2019				F		3,754(3	3)	D	\$39.58		443,473(2)		D			
Common Stock 09/2					9/20/2019				F		2,851(4	4)	D	\$39.58		440,622(2)		D			
Common Stock 09/20/					/2019					F		2,651(5	5)	D	\$39.58		437,971(2)		D		
		Та										sed of, onvertib					wned				
1. Title of Derivative Security (Instr. 3)				n Date, ay/Year) _	ate, Transactio		on of Derivative (Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date E Expiratio (Month/D Date Exercisa	on Date		or		ount	Deri	rice of vative urity tr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owner Form: Direct or Indi (I) (Ins	D) ect	11. Nature of Indirect Beneficial Ownership (Instr. 4)

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/18/2018. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2018.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2016.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/15/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/17/2015.

By: Erik Lichter For: Gary B. Smith \*\* Signature of Reporting Person

09/23/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.