## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |           |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per reconnece:     | 0.5       |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  SMITH GARY B   |     |                  |         |   |         | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ] |  |      |   |   |                    |               |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                         |   |  |   |   |  |
|--|-----|------------------|---------|---|---------|---|--|------|---|---|--------------------|---------------|---|---|---|--|---|---|--|
|  |     |                  |         |   |         |   |  |      | L OIL   | . , ]   |                    |               |   | X   | Dire  | ctor   | 10% (   | Owner   |  |
| (Last)   | (Fi | (First) (Middle) |         |   |         |   | Date of Earliest Transaction (Month/Day/Year)            |      |   |   |                    |               |   | X   | Offic<br>belo   | er (give title<br>w)   | Other<br>below  | (specify  |  |
| C/O CIENA CORPORATION  |     |                  |         |   | 10/     | 10/04/2013  |  |      |   |   |                    |               | PRESIDENT, CEO                                  |   |   |  |   |   |  |
| 1201 WINTERSON ROAD  |     |                  |         |   |         |   |  |      |   |   |                    |               |   |   |   |  |   |   |  |
|  |     |                  |         |   | - 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year)        |  |      |   |   |                    |               |   | 6. Individual or Joint/Group Filing (Check Applicable Line)                                     |   |  |   |   |  |
| (Street) LINTHICUM, MD 21090   |     |                  |         |   |         |   |  |      |   |   |                    |               | X Form filed by One Reporting Person            |   |   |  |   |   |  |
|  |     |                  |         | -   |         |   |  |      |   |   |                    |               | Form filed by More than One Reporting<br>Person |   |   |  |   |   |  |
| (City)   | (St | ate) (           | Zip)    |   |         |   |  |      |   |   |                    |               |   |   | FCIS  | ion  |   |   |  |
|  |     | Tabl             | e I - I | Non-Deriv   | ative   | Sec   | uritie   | s Ac | quir  | ed, Di  | isposed o          | f, or E       | Benefici  | ally  | Own   | ed   |   |   |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye   |     |                  |         |   | .       | Execution Date,   |  | ite, | 3. 4. Securities Acq<br>Transaction<br>Code (Instr. 8) 4. Securities Acq<br>Disposed Of (D) ( |   |                    |               |   | d 5) Sec<br>Ben<br>Owi  |   | ount of<br>rities<br>ficially<br>ed Following                      | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|  |     |                  |         |   |         |   | Ï  |      | Code  | v   | Amount             | (A) or<br>(D) | Price   |   | Repo<br>Trans<br>(Instr   | action(s)<br>3 and 4)  |   | (Instr. 4)  |  |
| Common Stock 10/04/201   |     |                  |         |   |         | 13  |  |      | S   |   | 2,900(1)           | D             | \$26.79   | 5.7957 <sup>(2)</sup>   |   | 76,355 <sup>(3)</sup>  | D   |   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |     |                  |         |   |         |   |  |      |   |   |                    |               |   |   |   |  |   |   |  |
| Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any  |     |                  |         | sansaction of Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |         | ative<br>rities<br>ired<br>osed                                 | 6. Date Exercisable and Expiration Date (Month/Day/Year) |      |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |                    |               |   | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |   |  |
|  |     |                  |         |   | Code    | v   | (A)  | (D)  | Date<br>Exer  | cisable   | Expiration<br>Date | Title         | or<br>Number<br>of<br>Shares                    |   |   |  |   |   |  |

## **Explanation of Responses:**

- 1. Sales were effected pursuant to 10b5-1 trading plan dated March 11, 2013.
- 2. Reflects the weighted average sales price with transactions in a range of sales prices from \$25.72 to \$27.50. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs).

By: Erik Lichter For: Gary B Smith

10/07/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.