Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, D | C. 20549 |
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

| 1. Title of Security (Instr. 3) | | | Transaction | 2A. Deemed | 3. | 4. Securities Acquired (A | | 5. Amount of | 6. Ownership | 7. Nature | | |
|---|---------|-----------------|-------------------|--|--------------------|---|---|--|---------------|-----------|--|--|
| | | Table I - Non-D | Derivative S | ecurities Acq | uired, Disp | oosed of, or Benet | ficially | Owned | | | | |
| (City) | (State) | (Zip) | | | | | | | | | | |
| HANOVER | MD | 21076-1426 | | | | | | Form filed by More than One Reporting Person | | | | |
| (Street) | | | menament, bate of | i Onginai i ilcu | (Monan Bay) Todi) | Line) | Form filed by On | | •• | | | |
| 7035 RIDGE RD. | | 4 If A | mendment, Date of | f Original Filed | (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable | | | | | | |
| (Last) (First) (Middle) C/O CIENA CORPORATION | | | | 7/2020 | action (ivioritis) | Day, reary | | SVP, Networ | king Platform | ıs | | |
| | | | 3 Dat | te of Earliest Transa | action (Month/ | Dav/Year) | X | Officer (give title below) | Other below | (specify | | |
| Name and Address of Reporting Person* McFeely Scott | | | | uer Name and Tick <u>NA CORP</u> [(| - | Symbol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | |
| | | | or Se | ction 30(h) of the In | vestment Con | npany Act of 1940 | | | | | | |

| , , | | Date (Month/Day | //Year) if a | Execution Date, if any (Month/Day/Year) | Code (Instr. | | Disposed Of (D) (Instr. 3, 4 and 5) | | | Benefic | cially Following | (D) or Indirect (I) (Instr. 4) | of Indirect Beneficial Ownership (Instr. 4) | |
|--|---|------------------------|--------------|---|--------------|------|-------------------------------------|---------------|-------|-------------------------|----------------------|-----------------------------------|--|---|
| | | | | | Code | v | Amount | (A) or (D) | Price | Transa | ction(s) 3 and 4) | (msu. | (111511.4) | " |
| Common | non Stock 08/17/2020 s 2,000 ⁽¹⁾ D \$6 | | | | | \$60 | 84 | ,241(2) | D | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
| | 2. Conversion | 3. Transaction Date | | 4. Transaction | 5. Number of | | | | | 9. Number of derivative | of 10. Ownership | 11. Natur | | |

if any (Month/Day/Year) (Month/Day/Year) Code (Instr. Derivative Securities Security Security or Exercise (Month/Day/Year) Securities Form: Beneficial Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Direct (D) or Indirect (I) (Instr. 4) Price of Derivative Underlying Derivative (Instr. 5) Beneficially (Instr. 4) Owned Security Security (Instr. 3 and 4) Following Reported Transaction(s) (Instr. 4) Amount Number Date Exercisable Expiration Date of Shares (A) (D) Title Code

Explanation of Responses:

- $1. \ Sales \ were \ effected \ pursuant \ to \ Rule \ 10b5-1 \ trading \ plan \ dated \ 04/05/2019 \ and \ amended \ 12/31/2019.$
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 08/18/2020 Scott Mcfeely

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.