FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APF | PROVAL |
|--------------------|-----------|
| OMB Number: | 3235-0287 |
| Estimated average | burden |
| hours per response | : 0.5 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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|---|---|--|--|--------|---|------|---|----------|--|--------|---|--|-----------------|------------------------|---|---|---|--|---|---------------------------------------|--|
| 1. Name and Address of Reporting Person* Rothenstein David M | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP CIEN | | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/15/2017 | | | | | | | | | X | belov | er (give title v) | | 10% Owner Other (specify below) eral Counsel | | | |
| (Street) HANOVER MD 21076-1426 (City) (State) (Zip) | | | | 6 | Line) X For | | | | | | | | | | Form | or Joint/Group Filing (Check Applicable m filed by One Reporting Person m filed by More than One Reporting son | | | | | |
| | | Tabl | e I - Non- | -Deriv | ative | Se | curitie | s Acc | quired, | Dis | posed o | f, or | Bene | eficia | ally C |)wne | ed | | | | |
| 1. Title of Security (Instr. 3) 2. Transar Date (Month/Date | | | | | Day/Year) Ex | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Disposed Code (Instr. 5) | | ities Acquired (A) d Of (D) (Instr. 3, | | | 4 and Sec Ber Ow | | cially I Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | | | v | Amount | 1 | (A) or (D) | Price | . 11 | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Common Stock 09/1 | | | | | 9/15/2017 | | | | | | 2,000 | 1) | D \$21 | | 1.6 265,565 ⁽²⁾ | | 5,565 ⁽²⁾ | D | | | |
| | | Та | ıble II - De (e. | | | | | | | | sed of, onvertib | | | | y Ow | ned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution D if any (Month/Day) | Date, | Code (Instr. | | n of | | 6. Date Exercisab Expiration Date (Month/Day/Year) | | е | 7. Title and Amount of Securities Underlying Derivative Security (Instrant 4) | | str. 3 | | ivative urity | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownersh Form: Direct (D or Indirec (I) (Instr. | (D) irect | Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | or Nun of | ount nber res | | | | | | | |

Explanation of Responses:

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 1/13/2017.
- $2. \ Shares \ reported \ include \ unvested \ Restricted \ Stock \ Units \ (RSUs) \ and \ Performance \ Stock \ Units \ (PSUs).$

By: Michelle Griswold For: David M. Rothenstein

09/15/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.