FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  ALEXANDER STEPHEN B  (Last) (First) (Middle)  C/O CIENA CORPORATION  7035 RIDGE RD.  (Street)  HANOVER MD 21076-1426						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]  3. Date of Earliest Transaction (Month/Day/Year) 03/20/2020  4. If Amendment, Date of Original Filed (Month/Day/Year)								(Chec	SVP, Chief Technology Officer dividual or Joint/Group Filing (Check Applicable				
(City)	(St		Zip)																
Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transact Date (Month/Day					ion 2A. Deemed Execution Date,			d Date,	3. Transaction Code (Instr.		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			or	or 5. Amount		nt of 6. Of es Formally (D) (I) (II)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code	v	Amount	(A) o (D)	r Pr	ice	Transa	ction(s) 3 and 4)			()		
Common	2020				F		468(1)	D	\$	36.07	96	,306(2)		D					
Common Stock 03/20/2						2020					498(3)	D	\$	36.07	95,	808(2)		D	
Common Stock 03/20/2						2020					324(4)	D	\$	36.07		95,484 <sup>(2)</sup>		D	
Common Stock 03/20/2					2020				F		306(5)	D	\$	36.07	.07 95,178 <sup>(2)</sup>		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)	4. Transa Code ( 8)			6. Date Exerci Expiration Da (Month/Day/Yo		ite	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		De Se (In	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable		Expiration Date	Title	Numb of Share						

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2016.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/18/2018. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2018.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2019. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2019.

By: Michelle Rankin For: 03/23/2020 Stephen B. Alexander

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.