| SEC Form 4 |  |
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPRO               | VAL       |
|-------------------------|-----------|
| OMB Number:             | 3235-0287 |
| Estimated average burde | en        |
| hours per response:     | 0.5       |

| 14   |         |            |  |                   |  |                       |  |  |  |
|--|---------|------------|--|-------------------|--|-----------------------|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup><br>SMITH GARY B |         |            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ] |                   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                       |  |  |  |
|  |         |            | [  | X                 | Director   | 10% Owner             |  |  |  |
|  |         |            | _  |                   | Officer (give title  | Other (specify        |  |  |  |
| (Last)   | (First) | (Middle)   | 3. Date of Earliest Transaction (Month/Day/Year)                       |                   | below)   | below)                |  |  |  |
| C/O CIENA CORPORATION  |         | V          | 05/05/2016   |                   | PRESIDENT, CEO   |                       |  |  |  |
| 7035 RIDGE I   | RD.     |            |  |                   |  |                       |  |  |  |
| (Street)   |         |            | 4. If Amendment, Date of Original Filed (Month/Day/Year)               | 6. Indiv<br>Line) | vidual or Joint/Group Fili   | ing (Check Applicable |  |  |  |
| HANOVER  | MD      | 21076-1426 |  | X                 | Form filed by One Re   | porting Person        |  |  |  |
|  |         | 210/0 1120 | _  |                   | Form filed by More th<br>Person  | an One Reporting      |  |  |  |
| (City)   | (State) | (Zip)      |  |                   |  |                       |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   |                      |               |                                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------------|---|----------------------|---------------|---------------------------------|---|---|---|
|                                 |  |   |                              | v | Amount               | (A) or<br>(D) | Price                           | Transaction(s)<br>(Instr. 3 and 4)  |   | (1150.4)  |
| Common Stock                    | 05/05/2016                                 |   | S                            |   | 2,000 <sup>(1)</sup> | D             | <b>\$16.1272</b> <sup>(2)</sup> | 819,335 <sup>(3)</sup>  | D   |   |
| Common Stock                    | 05/06/2016                                 |   | S                            |   | 2,000 <sup>(1)</sup> | D             | \$16.0343(4)                    | 817,335 <sup>(3)</sup>  | D   |   |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D) | erivative (Month/Day/Year)<br>ccurities<br>cquired<br>) or<br>sposed<br>(D)<br>str. 3, 4 |                     |                    | 7. Title<br>Amour<br>Securi<br>Underl<br>Deriva<br>Securi<br>and 4) | nt of<br>ties<br>ying<br>tive<br>ty (Instr. 3 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--|--|---------------------|--------------------|---|---|---|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares        |   |  |  |

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 9/21/2015, amended 3/24/2016.

2. Reflects the weighted average sales price with transactions in a range of sales from \$16.00 to \$16.265. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

4. Reflects the weighted average sales price with transactions in a range of sales from \$15.75 to \$16.26. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

### <u>By: Erik Lichter For: Gary B</u>

Smith

05/09/2016

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.