| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | | | | | | | | |
|--------------|----------|--|--|--|--|--|--|--|
| MB Number: | 3235-028 | | | | | | | |

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| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|-------------|------------|--|---|--|------------------------------------|--|--|--|
| SMITH GA | <u>KI D</u> | | | X | Director | 10% Owner | | | |
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 01/23/2019 | x | Officer (give title below) PRESIDENT | Other (specify below) Г, CEO | | | |
| (Street) HANOVER | MD | 21076-1426 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | ridual or Joint/Group Filir Form filed by One Rej Form filed by More tha | porting Person | | | |
| (City) | (State) | (Zip) | | | Person | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| ······································ | | | | | | | | | | | |
|--|--|---|-----------------------------|---|----------------------|---------------|----------------------------------|---|---|---|--|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) | |
| Common Stock | 01/23/2019 | | S | | 2,400 ⁽¹⁾ | D | \$38.2645(2) | 615,214 ⁽³⁾ | D | | |
| Common Stock | 01/23/2019 | | S | | 2,400 ⁽¹⁾ | D | \$38.2671(2) | 612,814 ⁽³⁾ | D | | |
| Common Stock | 01/24/2019 | | S | | 2,400 ⁽¹⁾ | D | \$ 39.1991 ⁽⁴⁾ | 610,414 ⁽³⁾ | D | | |
| Common Stock | 01/24/2019 | | S | | 2,400 ⁽¹⁾ | D | \$39.1996(4) | 608,014 ⁽³⁾ | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | (3/1 | , | , | | | • • | | | , | | | | |
|---|---|--|---|------------------------------|---|-----|-----|--|---|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of | | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 07/09/2018.

2. Reflects the weighted average sales price with transactions in a range of sales from \$37.98 to \$38.79. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

4. Reflects the weighted average sales price with transactions in a range of sales from \$38.41 to \$39.49. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

By: Michelle Rankin For: Gary 01/25/2019

B. Smith

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.