FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

	ction 1(b).	nuc. See		Filed						curities Exchar Company Act				nours	per res	sponse:	0.5		
1. Name and Address of Reporting Person* SMITH GARY B					2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last)						3. Date of Earliest Transaction (Month/Day/Year) 09/15/2023							X Office below	er (give title w)	10% Owner Other (specify below) nt, CEO				
7035 RIDGE RD.					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street) HANOV													X Form filed by One Reporting Person  Form filed by More than One Reporting  Person						
(City)	(St	ate) (Z	Zip)		Rule 10b5-1(c) Transaction Indication							on							
		Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.																	
		Table	I - I	Non-Deriva	tive	Secur	rities A	cqui	red,	Disposed o	of, or I	Benefici	ally Own	ed					
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye			ar)	2A. Deemed Execution Date ar) if any (Month/Day/Ye		n Date, Transac Code (In		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			Secur Benef Owne	icially d Following			7. Nature of Indirect Beneficial Ownership				
								Code	v	Amount	(A) or (D)	Price	Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)			7. 4)	(Instr. 4)		
Common	Stock			09/15/202	3			S		4,166(1)	D	\$48.790	3.7908 <sup>(2)</sup> 428,609 <sup>(3)</sup> D						
		Tal	ole	II - Derivati (e.g., pu						isposed of s, converti				d					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exe if a	Deemed cution Date, ny onth/Day/Year)		saction e (Instr.	5. Numb of Derivativ Securitie Acquired (A) or Dispose of (D) (Instr. 3, and 5)	ve (M	piratio	xercisable and n Date ay/Year)	Amo Secu Unde Deriv	tle and unt of Irities erlying vative Irity (Instr. d 4)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	/ E	LO. Dwnership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 03/11/2023
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$48.62 to \$48.93. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

Exercisable

(A) (D)

3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 09/19/2023 Gary B Smith

\*\* Signature of Reporting Person

Amount or Number

of Shares

Title

Expiration Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.