FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| Washington, I | D.C. | 20549 |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | |
|--------------------------|--|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | |
| hours per response. 0.5 | | | | | | | |

| | Check this box if no longer subject |
|--------|-------------------------------------|
| | to Section 16. Form 4 or Form 5 |
| \cup | obligations may continue. See |
| | Instruction 1(b). |

| | | | | | or sec | JUUIT | so(n) of the ir | ivestine | iii Coii | ipariy Act o | л тэ | 740 | | | | | | | |
|---|---|---|---|--|---|---|--|----------|--------------|---|--|--------------------------|---|--|-----------------------|--------------------------------------|--|---|---------|
| Name and Address of Reporting Person* Olsen Joanne Beth | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | 5. Relationship of Reporting Person(s) to Issue (Check all applicable) | | | | | | | | |
| Olsell Jodille Belli | | | | | | | | | | | X [| Director | | 10% O | wner | | | | |
| | (Fi | , | Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 04/01/2021 | | | | | | | | | | Office | er (give title v) | e Other (below) | | specify |
| 7035 RIDGE RD. | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. | 6. Individual or Joint/Group Filing (Check Applicable | | | | | | |
| (Street) | ER M | D 2 | 1076-1 | 426 | | | | | | | | Lir | X F | | | | | | |
| (City) | (St | ate) (Z | Zip) | | | | | | | | | | | | | | | | |
| | | Table | I - Noı | n-Deriva | tive S | ecui | rities Acq | uired, | Disp | osed of | f, o | r Ben | efici | ally O | wn | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | Day/Year) Execu | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. 4. Securitie Transaction Disposed (Code (Instr. 5) | | | | | s, 4 and See Be Ow | | | | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | Code | v | Amount (A) o | | (A) or (D) | Price | Tr | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Common Stock 04/01 | | | 04/01/2 | 2021 | | | A | | 4,116(1) | | A | \$0. | \$0.0 1 | | 18,169 ⁽²⁾ | | D | | |
| | | Tal | | | | | ties Acqu varrants, | | | | | | | | nec | d | | • | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | xercise (Month/Day/Year) if any (Month/Day/Year) vative | | 4. Transac Code (Ir 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Expirat (Month) | ion Dat | | 7. Title and Amount of Securities Underlying Derivative Security (In 3 and 4) | | f g | | | | y | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirec Beneficia Ownershi (Instr. 4) | |

Date Exercisable

Expiration Date

Explanation of Responses:

- 1. Restricted stock units (RSUs) vest in full on the first anniversary of the date of the grant.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 04/05/2021 Joanne B. Olsen

Amount Number

of Shares

Title

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A) (D)