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# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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| hours per response: 0.                             | Estimated average burd | en        |
|  | hours per response:    | 0.5       |

| 1. Name and Address of Reporting Person <sup>*</sup><br>GALLAGHER PATRICK |         |            | 2. Issuer Name and Ticker or Trading Symbol <u>CIENA CORP</u> [ CIEN ] |                        | tionship of Reporting Pers<br>all applicable)<br>Director                        | son(s) to Issuer<br>10% Owner |
|---|---------|------------|--|------------------------|--|-------------------------------|
| C/O CIENA CORPORATION<br>7035 RIDGE RD.<br>(Street)                       |         | (Middle)   | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/21/2018         |                        | Officer (give title below)   | Other (specify below)         |
|   |         | 21076-1426 | 4. If Amendment, Date of Original Filed (Month/Day/Year)               | 6. Indiv<br>Line)<br>X | idual or Joint/Group Filing<br>Form filed by One Repo<br>Form filed by More thar | orting Person                 |
| (City)  | (State) | (Zip)      |  |                        | Person   | I One Reporting               |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   |                    |               |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------------|---|--------------------|---------------|---------|---|---|---|
|                                 |  |   | Code                         | v | Amount             | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)  |   |   |
| Common Stock                    | 03/21/2018                                 |   | S                            |   | 283(1)             | D             | \$26.58 | <b>50,308</b> <sup>(2)</sup>  | D   |   |
| Common Stock                    | 03/21/2018                                 |   | S                            |   | 318 <sup>(3)</sup> | D             | \$26.58 | <b>49,990</b> <sup>(2)</sup>  | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | ative<br>rities<br>ired<br>osed<br>. 3, 4 | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--|---|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)                                       | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

1. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 3/26/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 3/30/2015

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

3. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 3/24/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 3/24/2016.

By: Michelle Rankin For:

Patrick T. Gallagher

03/23/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.