SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person* SMITH ARTHUR D (Last) (First) (Middle) 1201 WINTERSON ROAD (Street) LINTHICUM MD 21090	2. Date of Event Requiring Statement (Month/Day/Year) 09/03/2003		3. Issuer Name and Ticker or Tra <u>CIENA CORP</u> [CIEN] 4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title below) Sr.V.P., Global Op	on(s) to Issue 10% Owne Other (spe below)	r (Mo cify 6. In App	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 	
(City) (State) (Zip)							
	Table I - No	n-Derivat	tive Securities Beneficial	ly Owned			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect ((Instr. 5)	t (D) (Instr		Beneficial Ownership
Common Stock			0	D			
			e Securities Beneficially ants, options, convertible		5)		
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
				Amount	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
	Date Exercisable	Expiration Date	Title	or Number of Shares	Security	(l) (Instr. 5)	
Employee Stock Option (right to buy)			Title	Number of	6.1875	(I) (Instr. 5)	
Employee Stock Option (right to buy) Employee Stock Option (right to buy)	Exercisable	Date	Title Common Stock	Number of Shares			
	Exercisable 09/17/1999 ⁽¹⁾	Date 09/17/2008	Title Common Stock Common Stock	Number of Shares 3,376	6.1875	D	
Employee Stock Option (right to buy)	Exercisable 09/17/1999 ⁽¹⁾ 11/12/1999 ⁽¹⁾	Date 09/17/2008 11/12/2008	Title Common Stock Common Stock Common Stock	Number of Shares 3,376 11,800	6.1875 8.6875	D D	
Employee Stock Option (right to buy) Employee Stock Option (right to buy)	Exercisable 09/17/1999 ⁽¹⁾ 11/12/1999 ⁽¹⁾ 10/20/2000 ⁽¹⁾	Date 09/17/2008 11/12/2008 10/20/2009	Title Common Stock Common Stock Common Stock Common Stock	Number of Shares 3,376 11,800 15,600	6.1875 8.6875 14.9065	D D D	
Employee Stock Option (right to buy) Employee Stock Option (right to buy)	Exercisable 09/17/1999 ⁽¹⁾ 11/12/1999 ⁽¹⁾ 10/20/2000 ⁽¹⁾ 01/17/2001 ⁽¹⁾	Date 09/17/2008 11/12/2008 10/20/2009 01/17/2010	Title Common Stock Common Stock Common Stock Common Stock Common Stock Common Stock	Number of Shares 3,376 11,800 15,600 35,600	6.1875 8.6875 14.9065 33.4065	D D D D D	
Employee Stock Option (right to buy) Employee Stock Option (right to buy) Employee Stock Option (right to buy) Employee Stock Option (right to buy)	Exercisable 09/17/1999 ⁽¹⁾ 11/12/1999 ⁽¹⁾ 10/20/2000 ⁽¹⁾ 01/17/2001 ⁽¹⁾ 05/16/2002 ⁽¹⁾	Date 09/17/2008 11/12/2008 10/20/2009 01/17/2010 05/16/2011	Title Common Stock	Number of Shares 3,376 11,800 15,600 35,600 50,000	6.1875 8.6875 14.9065 33.4065 55.44	D D D D D D D	

Explanation of Responses:

1. The shares vest at rate of 25% on the one year anniversary of the grant date and 2.084% for each full month after the one year anniversary of the grant date.

Arthur D. Smith/by Anita

10/13/2003

Date

Weiskerger pursuant to POA ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

The undersigned hereby constitutes and appoints Russell B. Stevenson, Jr. and Anita J. Weiskerger, or his/her assignee, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities and Exchange Act of 1934 and the rules thereunder;

(2) do and perform any and all acts for an on behalf of the undersigned which may be necessary or desirable to complete the execution of any such Forms 3, 4 or 5 and the timely filing of such form with the United States Securities and Exchange Commission, the Nasdaq Stock Market and any other authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary and proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934. This Power of Attorney can only be revoked by delivering a signed, original "Revocation of Power of Attorney" to the attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 3rd day of October, 2003.

/s/ Arthur Smith Signature

Arthur Smith Print Name