SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287					
Estimated average burden						
hours per response:	0.5					

		Table I - Non-Deri	vative Securities Acquired, Disposed of, or Ben	eficially	Owned		
(City)	(State)	(Zip)			Person		
(Street) HANOVER MD 21076-1426		21076-1426		Line) X	Form filed by N	Dne Reporting Perso More than One Repo	
7035 RIDGE I	RD.		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	vidual or Joint/Gro	oup Filing (Check Ar	oplicable
C/O CIENA C	CORPORATIO	N	09/15/2022		Sr. VP, G	eneral Counsel	
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)	_ x	Officer (give tit below)	below)	pecify
1. Name and Add <u>Rothenstein</u>		g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>CIENA CORP</u> [CIEN]		tionship of Repo all applicable) Director	rting Person(s) to Iss 10% Ow	
Instruction 1(b	ay continue. See)).	Fi	led pursuant to Section 16(a) of the Securities Exchange Act of 19 or Section 30(h) of the Investment Company Act of 1940	934	hou	urs per response:	0.5

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/15/2022		S		3,500(1)	D	\$42.37	240,123(2)	D	

		Tal	ble II - Derivat (e.g., pi						posed of, convertit				d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number 6. Date Exercisable		r 6. Date Exercisable and Expiration Date (Month/Day/Year) S		Expiration Date Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 12/13/2021.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For:	
David M Rothenstein	

09/16/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.