FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, I | D.C. | 20349 |
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OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>ALEXANDER STEPHEN B</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | Check | all app | olicable) | | Issuer Owner r (specify |
|---------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|---------|-------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------|----------------------------|-----------------------------------------|---------------------------------------------------------------------------------------------------|--------------------------|------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------------|
| | (First) (Middle) NA CORPORATION OGE RD. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/17/2018 | | | | | | | | X | belov | N) . | belov chnology Off | v) . |
| (Street) HANOVER MD 21076-1426 (City) (State) (Zip) | | | | 4. 11 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 5. Indiv ine) X | ′ | | | | |
| | | Tabl | e I - 1 | Non-Deriv | ative | Sec | uritie | s Ac | cquir | ed, D | isposed o | f, or E | Benefici | ally (| Owne | ed | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Ye | | | | . | Execution Date, | | · ' | 3. Transaction Code (Instr. 8) 4. Securities Disposed Of (| | | Acquired (A) or (D) (Instr. 3, 4 and | | d 5) Sec Ber Ow | | ount of ities icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | | v | Amount | (A) or (D) | Price | | Reported Transaction(s) (Instr. 3 and 4) | | | (111511.4) | |
| Common Stock 12/17/201 | | | |)18 | 18 | | | S | | 2,500 ⁽¹⁾ D \$3 | | \$34.29 | 64 ⁽²⁾ | 106,463(3) | | D | | |
| | | Та | ble II | | | | | | | , | posed of, convertib | | | • | vned | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | Execu | 3A. Deemed Execution Date, if any (Month/Day/Year) | | action (Instr. | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exe Expiration I (Month/Day | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | | vative irity r. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(: (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code | , | (A) | (D) | Date | rieahla | Expiration | Title | Number of Shares | | | | | | | |

Explanation of Responses:

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 6/24/2016.
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$34.15 to \$34.47. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: Stephen B. Alexander

12/18/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.