## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

wasinigton,	D.C. 2034

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
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OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Name and Address of Reporting Person*  SMITH GARY B					2. Issuer Name and Ticker or Trading Symbol CIENA CORP CIEN								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u> 31/11111</u>	GARTI	<u> </u>			1				_	-				X	Dire			Owner	
(Last)	(Fi	rst) (	Middle	)	3 [	Date of Earliest Transaction (Month/Day/Year)								X	Offic belov	er (give title w)	Othe belov	(specify	
` ′	OCIENA CORPORATION					09/04/2013								PRESIDENT, CEO					
1201 WI	NTERSON	ROAD																	
					- 4. I1	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable					
(Street)	,												Line)  X Form filed by One Reporting Person						
LINTHIC	CUM, M	D 2	21090												Form filed by More than One Reporting				
(City)	(St	ate) (	Zip)												Pers	on			
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			e 1 - r		_			SAC			isposed o			any (	_		l	1	
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y				.	Execution Da			3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			d 5) See Be		ount of ities icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price			rted action(s) 3 and 4)		(Instr. 4)	
Common Stock 09/04/20				)13	.3			S		2,900(1)	D	\$22.34	\$22.3422(2)		297,712 <sup>(3)</sup>				
		Та	ble II	- Derivat	ive S	ecur	ities /	Acq	uired	, Disp	posed of,	or Be	neficial	ly Ov	vned				
				(e.g., p	uts, c	alls,	warra	ants	, opt	ions,	convertib	le sec	urities)						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execu	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Fransaction Code (Instr. 3)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate Exer ration D ath/Day/		7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)				9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	V	(A)	(D)	Date	cisable	Expiration	Title	Amount or Number of						

## **Explanation of Responses:**

- $1. \ Sales \ were \ effected \ pursuant \ to \ 10b5-1 \ trading \ plan \ dated \ March \ 11, \ 2013.$
- 2. Reflects the weighted average sales price with transactions in a range of sales prices from \$22.32 to \$22.35. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs).

By: Erik Lichter For: Gary B Smith

09/05/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.