FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Washington, D.C. 20049

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*  CMATTIL CARNATE                           |  |  |                 |       |           | 2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ] |   |     |  |         |                    |   |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                          |   |   |  |
|--|--|--|-----------------|-------|-----------|--|---|-----|--|---------|--------------------|---|--|---|--------------------------|---|---|--|
| SMITH GARY B   |  |  |                 |       |           |  |   |     | CIL  | . , ,   |                    |   |  | X   | Direc                    | ctor  | 10%   | Owner  |
| (Last)   | (First) (Middle)   |  |                 |       |           | 3. Date of Earliest Transaction (Month/Day/Year) 07/13/2016            |   |     |  |         |                    |   |  | X   | Offic<br>belov           | ,   | Other<br>below<br>ENT, CEO  | (specify<br>)  |
| C/O CIENA CORPORATION  |  |  |                 |       |           | 0.713,2010   |   |     |  |         |                    |   |  |   |                          | TILLOIDI  | LIVI, GLO   |  |
| 7035 RIDGE RD.   |  |  |                 |       |           |  |   |     |  |         |                    |   |  |   |                          |   |   |  |
| (Street) HANOVER MD 21076-1  |  |  |                 | 1.426 | -   4. If |  |   |     |  |         |                    |   | 6. Indiv<br>Line)<br>X                 | •   |                          |   |   |  |
| HANOVER MD 21076   |  |  | -1426           |       |           |  |   |     |  |         |                    |   |  | Form filed by More than One Reporting                                   |                          |   |   |  |
| (City)   | (City) (State) (Zip)   |  |                 |       |           |  |   |     |  |         |                    |   |  | Pers  |                          | ·   | J.  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |                 |       |           |  |   |     |  |         |                    |   |  |   |                          |   |   |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y                |  |  |                 |       |           | Execution Date,  |   |     | 3. Transaction Code (Instr. 8) 4. Securities Disposed Of |         |                    |   |  | 5) Securi<br>Benefi   |                          | ficially<br>d Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |
|  |  |  |                 |       |           |  |   |     | Code   | v       | Amount             | (A) or<br>(D)   | Price                                  |   | Trans                    | action(s)<br>3 and 4)   |   | (Instr. 4)   |
| Common Stock 07/13/2   |  |  |                 |       | )16       | 6  |   |     | S  |         | 4,000(1)           | D   | \$19.71                                | 9.7144 <sup>(2)</sup>   |                          | '1,884 <sup>(3)</sup>   | D   |  |
| Common Stock 07/14/20  |  |  |                 |       | )16       | 6  |   |     | S  |         | 4,000(1)           | D   | \$19.58                                | 375 <sup>(4)</sup>  | 76                       | 57,884 <sup>(3)</sup>   | D   |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |                 |       |           |  |   |     |  |         |                    |   |  |   |                          |   |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                              | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | Execu<br>if any |       |           | action<br>(Instr.  | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     | 6. Date Exe<br>Expiration<br>(Month/Day                  |         |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |  | Deriv<br>Secu<br>(Inst  | vative<br>irity<br>r. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |  |                 |       | Code      |  | (A)   | (D) | Date<br>Exer   | cisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |                          |   |   |  |

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 9/21/2015, amended 3/24/2016.
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$19.60 to \$19.935. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 4. Reflects the weighted average sales price with transactions in a range of sales from \$19.49 to \$19.65. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

By: Erik Lichter For: Gary B. Smith

07/15/2016

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\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.