FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|---------------|------|-------|
| vvasiliigton, | D.C. | 20040 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | |
| hours per response: | 0.5 | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Personal MOYLAN JAMES E JR | · - | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | ck all app Direc | licable) | or 10% C | | | |
|--|--|------------|--|-------|---|--------|---|--------------------|--------------------------|---------|-----------------------------------|---|--|---|---|---------------------------------------|---|--|
| (Last) (First) C/O CIENA CORPORATION 7035 RIDGE RD. | (Middle) | | 3. Date of Earliest Transi 12/20/2022 | | | | saction (Month/Day/Year) | | | | | X | | svp Finance, CFO | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | |
| (Street) HANOVER MD (City) (State) | 21076-1 (Zip) | 1426 | 4. If A | Amend | ment, | Date o | f Origina | al File | l Filed (Month/Day/Year) | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A Disposed Of (D) (Instr. 3, | |) or 4 and | | ties cially I Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | | | | |
| | | | | | | Code | v | Amount | (A) o | r P | rice | Report Transa (Instr. : | ed ction(s) 3 and 4) | | | (Instr. 4) | | |
| Common Stock | | 12/20/2022 | | 022 | | F | | 2,994(1) | D | \$ | 49.27 | 256,656 ⁽²⁾ | | D | | | | |
| Common Stock | | 12/20/2022 | |)22 | | | F | | 558(3) | D | \$ | 49.27 256 | | 5,098 ⁽²⁾ | D | | | |
| Common Stock | | 12/20/2022 | |)22 | | | | | 2,679(4) | D | \$ | 49.27 | 9.27 253,419 | | D | | | |
| Common Stock | | 12/20/2022 | | 22 | | | F | | 1,728(4) | D \$4 | | 49.27 | ⁷ 251,691 ⁽²⁾ | | D | | | |
| Common Stock | | 12/20/2022 | | 22 | | F | | 470 ⁽⁵⁾ | D | \$49.27 | | 251,221 ⁽²⁾ | | D | | | | |
| Common Stock | | 12/20/2022 | | | | F | | 2,254(6) | D | \$ | 49.27 | 248 | 248,967(2) | | | | | |
| Common Stock | | | | | | | | | | | | | 108,043 | | I | | By spousal trust ⁽⁷⁾ | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 34. Deem (Month/Day/Year) (Month/Day/Year) | | | Code (Instr. | | | | 6. Date Exercisal Expiration Date (Month/Day/Year | | te Amount of | | De Se (Ir | Price of erivative ecurity nstr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction((Instr. 4) | Ow For Dire or I (I) (| Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | |
| | | | | | (A) | (D) | Date Exercisable | | Expiration Date | | Amou or Numb of Share | er | | | | | | |

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a market stock unit (MSU) award agreement dated 12/17/2019. The earning of the MSU was previously reported on Table I of the reporting person's Form 4 on 12/15/2022.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 on 12/18/2020.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/16/2020. The earning of the PSU was previously reported on Table I of the reporting person's Form 4 on 12/16/2021.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 on 12/16/2021.
- 6. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/14/2021. The earning of the PSU was previously reported on Table I of the reporting person's Form 4 on 12/15/2022.
- 7. The beneficiary of the trust is the reporting person's spouse. The reporting person disclaims beneficial ownership of the securities held by the trust.

By: Michelle Rankin For: 12/22/2022 James E. Moylan Jr.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.