SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

to Section 16.	t if no longer subject Form 4 or Form 5 y continue. <i>See</i> ).	_	AT OF CHANGES IN BENEFICIAL OWNERSHIP       OMB Number: 3235-026         Estimated average burden hours per response: 0         or Section 30(h) of the Investment Company Act of 1940										
1. Name and Addu Rothenstein	ress of Reporting Pe David M	erson*	2. Issuer Name and Ticker or Trading Symbol <u>CIENA CORP</u> [ CIEN ] -	(Check all applicat Director X Officer (g	10% Owne ive title Other (spec	er							
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/15/2020	Sr. V	below) P, General Counsel								
(Street) HANOVER	MD	21076-1426	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form filed	nt/Group Filing (Check Appli I by One Reporting Person I by More than One Reportir								
(City)	(State)	(Zip)											
	Т	able I - Non-Deriv	ative Securities Acquired, Disposed of, or Bene	eficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	07/15/2020		S		2,500 <sup>(1)</sup>	D	\$54.11	264,694 <sup>(2)</sup>	D	

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	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		on of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 12/20/2019.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

## By: Michelle Rankin For: David M Rothenstein

07/16/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.