FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, D.C. 20549 | |
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| | |

| STATEMENT | OF CHANGES | IN BENEFICIAL | OWNERSHIP |
|------------------|------------|---------------|------------------|

| | OMB APP | ROVAL | | | | | |
|-----|--------------------|-----------|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | |
| | Estimated average | burden | | | | | |
| - 1 | hours per response | 0.5 | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Kosaraju Sheela | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | | all app Direc | ip of Reporting plicable) ctor cer (give title | | son(s) to Is 10% Ov Other (s | /ner | |
|---|---|---------|--|---------------------------------------|--|--|---|-----------------|-----------------------------------|-----------|--|--------------------|--|---|---|--|--|--------------------------------|------------|--|
| (Last) | 3. Date of Earliest Transaction (Month/Day/Year) 03/20/2024 | | | | | | | | | А | below) SVP, General (| | | below) | | | | | | |
| 7035 RIDGE RD. | | | | | | | | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | |
| (Street) HANOVE | ER MI | 2 | 1076-1 | 426 | | | | | | | | | | X | orting | | | | | |
| (City) | (Sta | ate) (Z | Zip) | | $ _{\square}$ | Rule 10b5-1(c) Transaction Indication | | | | | | | | to a contract, instruction or written plan that is intended to | | | | | | |
| satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | | | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) | | | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | | | | | | 5. Amount of Securities Beneficially Owned Following | | : Direct Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | | | ed ction(s) and 4) | | | (Instr. 4) | |
| Common Stock 03/2 | | | | 03/20/2 | 2024 | | | | F | | 191 ⁽¹⁾ | D | \$50 | .22 | 22 91,168 | | D | | | |
| Common Stock | | | 03/20/2024 | | | | F | | 177(3) | D | \$50 | .22 | 90,991(2) | | D | | | | | |
| Common Stock | | | | 03/20/2 | 03/20/2024 | | | | F | | 131(4) | D | \$50 | .22 | 90,860(2) | | D | | | |
| Common Stock 03/20 | | | | | 2024 | | | | F | | 202(5) | D | \$50 | .22 | 22 90,658(2) | | D | | | |
| Common S | 2024 | | | | F | | 897(6) | D | \$50 | 550.22 89 | | 89,761(2) | | D | | | | | | |
| Common S | 2024 | | | | F | | 712 ⁽⁷⁾ | D \$ | | .22 | 89,049(2) | | 9 ⁽²⁾ D | | | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) 2. Conversion On Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3. Transaction Date Execution Date, if any (Month/Day/Year) | | | 4. Transa | . 5. ransaction code (Instr.) S A | | vative urities uired or cosed 0) r. 3, 4 | <u> </u> | Exerc ion Da | isable and 7. Title and Amount of | | and t of ies ying ive y (Instr. | 8. P Der Sec | Price of ivative curity str. 5) | 9. Number derivative Securities Beneficially Owned Following Reported Transactio (Instr. 4) | y [C | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | Code | v | (A) | (D) | Date Exercis | able | Expiration Date | | Amount or Number of Shares | | | | | | | |

Explanation of Responses:

- 1. Represents shares withheld to cover payment of tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 9/8/2020. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023.
- 2. Shares reported include unvested Restricted Stock Units (RSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023.
- 6. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 2/1/2023. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 2/3/2023.
- 7. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2023. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/14/2023.

By: Michelle Rankin For: 03/21/2024 Sheela Kosaraju

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.