FORM 4

Check this box if no longer subject

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b).

(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.  4. If Amendment, Date of Original Filed (Month/Day/Year) (Street) HANOVER MD 21076-1426  (City) (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	ss of Reporting Person*  David M			me <b>and</b> Tick			Symbol			Check	all app	licable)		Owner (specify
(Street) HANOVER MD 21076-1426 (City) (State) (Zip)  4. If Amendment, Date of Original Filed (Month/Day/Year)  (Street) X Form filed by One Representation of the person o	C/O CIENA CORPORATION									X	below)		belo	v)
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	MD 21076-142		Amendm	nent, Date o	of Origina	al Filed	d (Month/Da	y/Year)		ine)	Form Form	filed by One	e Reporting P	erson
	Table I - Non-	n-Derivative S	Securi	ities Acc	uired	, Dis	posed of	, or Be	enefic	ially	Own	ed		
Date Execution Date, Transaction Disposed Of (D) (Instr. 3, 4 and Securities Form Code (Instr. 5)    Date (Month/Day/Year)   if any   Code (Instr. 5)   Execution Date, Transaction   Disposed Of (D) (Instr. 3, 4 and Beneficially (D) or (D) o	Date		y/Year) Execution		Transaction Dispos		Disposed C	urities Acquired (A sed Of (D) (Instr. 3,		4 and So		ties cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership
Code V Amount (A) or (D) Price (Instr. 3 and 4)					Code	v	Amount	(A) or (D)	Price	,	Transa	ction(s)		(Instr. 4)
Common Stock 01/17/2023 S 3,500 <sup>(1)</sup> D \$50.89 256,668 <sup>(2)</sup>		01/17/2023			S		3,500(1)	) <b>D</b> \$:		.89	256,668(2)		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)					,		,			•	Owned	t		
Derivative Security (Instr. 3) Price of Derivative Derivative Derivative Security (Month/Day/Year) Securities Acquired Security Securities Acquired Security Securities Securiti	sion   Date   Execution   cise   (Month/Day/Year)   if any   (Month/Day/Year)   if any	on Date, Transa Code (	action (Instr.	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4	Expirat	ion Da	te	Amoun Securit Underly Derivat Securit 3 and 4	t of ies ring ive y (Instr.	Deri	vative urity	derivative Securities Beneficially Owned Following Reported Transaction	Ownersi Form: Direct (I or Indire (I) (Instr.	Beneficial Ownership (Instr. 4)

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 12/15/2022.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 01/18/2023 David M Rothenstein

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.