## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

|  | Check this box if no longer subject<br>to Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |  |
|--|--|--|
|--|--|--|

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>MOYLAN JAMES E JR |  |            |  | uer Name <b>and</b> Tic<br>CNA CORP [ |                  | Symbol                    |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner |                             |                |  |  |
|---|--|------------|--|---------------------------------------|------------------|---------------------------|---|--|-----------------------------|----------------|--|--|
| (Last)<br>C/O CIENA C   | (First)  | (Middle)   |  | te of Earliest Trans<br>0/2024        | action (Month    | /Day/Year)                | <b>V</b>  | Officer (give title<br>below)<br>SVP Fina  | Other<br>below<br>ance, CFO | (specify<br>/) |  |  |
| 7035 RIDGE RD.  |  |            |  | Amendment, Date                       | of Original File | d (Month/Day/Year)        | 6. Individual or Joint/Group Filing (Check Appli<br>Line) |  |                             |                |  |  |
| (Street)<br>HANOVER   | MD   | 21076-1426 |  |                                       |                  |                           |   | Form filed by Or<br>Form filed by Mo<br>Person   |                             |                |  |  |
| (City)  | (State)  | (Zip)      | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended Check this box to indicate that a transaction of Rule 10b5-1(c). See Instruction 10. |                                       |                  |                           |   |  |                             |                |  |  |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |            |  |                                       |                  |                           |   |  |                             |                |  |  |
| 1. Title of Securi  | ity (Instr 3)  | 2. Transa  | ction  | 2A. Deemed                            | 3.               | 4. Securities Acquired (A | A) or   | 5. Amount of   | 6. Ownership                | 7. Nature      |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   |                           |               |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|------------------------------|---|---------------------------|---------------|---------|---|---|---|
|                                 | Code                                       |   | Code                         | v | Amount                    | (A) or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |
| Common Stock                    | 06/20/2024                                 |   | F                            |   | <b>370</b> <sup>(1)</sup> | D             | \$46.96 | 275,960 <sup>(2)</sup>  | D   |   |
| Common Stock                    | 06/20/2024                                 |   | F                            |   | 709(3)                    | D             | \$46.96 | 275,251 <sup>(2)</sup>  | D   |   |
| Common Stock                    | 06/20/2024                                 |   | F                            |   | 890(4)                    | D             | \$46.96 | 274,361 <sup>(2)</sup>  | D   |   |
| Common Stock                    | 06/20/2024                                 |   | F                            |   | 311 <sup>(5)</sup>        | D             | \$46.96 | 274,050 <sup>(2)</sup>  | D   |   |
| Common Stock                    |  |   |                              |   |                           |               |         | 108,043   | Ι   | By<br>spousal<br>trust <sup>(6)</sup>               |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Own | led |
|---|-----|
| (e.g., puts, calls, warrants, options, convertible securities)              |     |

|   |   |  | (e.g., pt   | 113, 00                      |   | vante  |   | options, c          | onvertib           | 10 30 | cunties   | , |  |  |  |  |  |
|---|---|--|---|------------------------------|---|--|---|---------------------|--------------------|-------|---|---|--|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Dispo<br>of (D<br>(Instr | of Expiration Date<br>Derivative (Month/Day/Year)<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4 |                     | of Expirat         |       | Expiration Date Amount of<br>Month/Day/Year) Securities<br>Underlying<br>Derivative |   | Amount of<br>Securities<br>Underlying (Instr. 5)<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v |  |   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares  |   |  |  |  |  |  |

## Explanation of Responses:

1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/18/2020.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/15/2022.

4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2023. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/14/2023.

5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/16/2021.

6. The beneficiary of the trust is the reporting person's spouse. The reporting person disclaims beneficial ownership of the securities held by the trust.

<u>By: Michelle Rankin For:</u> James Moylan Jr.

06/21/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.