FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     DiPerna Dino						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									k all app Direc	nship of Reportir applicable) birector Officer (give title		10% Ov	
(Last)	(Last) (First) (Middle) C/O CIENA CORPORATION					3. Date of Earliest Transaction (Month/Day/Year) 07/01/2024								J		below)  SVP Glo		below)	эреспу 
7035 RIDGE RD.					4. If Amendment, Date of Original Filed (Month/Day/Year)									_ine)	Individual or Joint/Group Filing (Check Applicable ne)  Form filed by One Reporting Person				.
(Street) HANOV	treet) ANOVER MD 21076-1			426										٧		filed by Mo		an One Repo	
(City) (State) (Zip)						Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is inte												nded to	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day					tion	ion 2A. Deemed Execution Date,			3. 4. Securiti		es Acquired (A) or Of (D) (Instr. 3, 4 an		or	5. Amo Securit Benefic	unt of 6. 0 For cially (D) Following		m: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A) c (D)	Pric	е	Reported Transaction(s) (Instr. 3 and 4)				(instr. 4)
Common Stock 07/01/2					2024	024			S		245(1)	D	\$4	8.29	.29 41,070			D	
Common Stock 07/01/2					2024	024			S		245(1)	D	\$4	8.29	40,	,825(2)		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)	4. Transa Code ( 8)				6. Date Exerc Expiration Da (Month/Day/Y		ite	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		De Se (In:	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable		Expiration Date	Title	Amoun or Numbe of Shares								

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 10/11/2023
- 2. Shares reported include unvested Restricted Stock Units (RSUs).

By: Michelle Rankin For: 07/02/2024 Dino Diperna

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.