## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Vashington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| houre per reenonce:      | 0.5       |  |  |  |  |  |  |  |  |

|   | Check this box if no longer subject to |
|---|--|
| ) | Section 16. Form 4 or Form 5           |
| J | obligations may continue. See          |
|   | Instruction 1(b).                      |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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|---|--|--|--|---------|--|---|--|----------------------------|---|-----------|--------------------|----------------------|--------------------------------------|--|--|---|--|---------------------------------------|---------|
| 1. Name and Address of Reporting Person*  McFeely Scott               |  |  |  |         | 2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ] |   |  |                            |   |           |                    |                      |                                      | lationship o<br>ck all applic<br>Director  | able)  | g Pers  | on(s) to Issi<br>10% Ov  |                                       |         |
|   | NA CORPO   | (First) (Middle) CORPORATION               |  |         |  | 3. Date of Earliest Transaction (Month/Day/Year) 12/13/2022 |  |                            |   |           |                    |                      |                                      |  | below)   |   |  | Other (s<br>below)<br>Platforms       | specify |
| 7035 RIDGE RD.  (Street)  HANOVER MD 21076-1426  (City) (State) (Zip) |  |  |  | 4. 1    | 4. If Amendment, Date of Original Filed (Month/Day/Year)               |   |  |                            |   |           |                    |                      | Line)                                | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |   |  |                                       |         |
| . ,,  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |  |  |         |  |   |  |                            |   |           |                    |                      |                                      |  |  |   |  |                                       |         |
| 1. Title of Security (Instr. 3)  2. Trans Date                        |  |  |  | saction |  |   | 3.<br>Trans<br>Code  | , DIS<br>action<br>(Instr. | 4. Securities Acquired (ction Disposed Of (D) (Instr. 3 |           | A) or              | 5. Amoun             | s For<br>ally (D)<br>following (I) ( |  | : Direct<br>Indirect<br>str. 4)  | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |                                       |         |
|   |  |  |  |         |  |   |  | Code                       | v   | Amount (A |                    | or                   | Price                                | Transacti<br>(Instr. 3 a   | on(s)  |   |  | (11341.4)                             |         |
| Common Stock 12/13  |  |  |  |         | 3/202  | /2022   |  | A                          |   | 10,470(1) |                    | A                    | \$0.0                                | 89,727(2)  |  | D   |  |                                       |         |
| Common Stock 12   |  |  |  | 12/13   | 3/202  | 3/2022  |  |                            |   |           | 6,638(3)           |                      | A                                    | (4)  | 96,3   | 365 <sup>(2)</sup>                                    |  | D                                     |         |
| Common Stock 12   |  |  |  | 12/13   | 3/202  | 3/2022  |  |                            |   |           | 26,496(5)          |                      | A                                    | \$0.0  | 122,8  | .861 <sup>(2)</sup>                                   |  | D                                     |         |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |  |         |  |   |  |                            |   |           |                    |                      |                                      |  |  |   |  |                                       |         |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day/ | ate, T  | 4.<br>Transaction<br>Code (Instr.<br>8)                                |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D) (Instr.<br>3, 4 and 5) |                            | 6. Date Exercis<br>Expiration Date<br>(Month/Day/Yea    |           | of Securities      |                      | curity                               | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported | e<br>s<br>ully  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |         |
|   |  |  |  |         | Code   | v   | (A)  | (D)                        | Date<br>Exercisa  |           | Expiration<br>Date | Title                | or<br>Nu<br>of                       | umber  |  | Transacti<br>(Instr. 4)                               | on(s)  | "                                     |         |
| Market<br>Stock<br>Units<br>(2022)                                    | \$0.0  | 12/13/2022                                 |  |         | М  |   |  | 9,621 <sup>(6)</sup>       | (3)   |           | (3)                | Common<br>Stock 9,62 |                                      | 621 <sup>(6)</sup>   | \$0.0  | \$0.0 0   |  | D                                     |         |

## **Explanation of Responses:**

- 1. Reflects the number of stock units earned pursuant to the terms of a performance stock unit (PSU) award granted on December 14, 2021 reflecting the achievement of the related performance conditions. These shares vest in equal 1/2 amounts on each of December 20, 2022 and 2023.
- 2. Shares reported include unvested Restricted Stock Units (RSUs), Performance Stock Units (PSUs), and Market Stock Units (MSUs).
- 3. Reflects the number of stock units earned pursuant to the terms of a market stock unit (MSU) award granted on December 17, 2019 reflecting the level of achievement of the applicable total shareholder return (TSR) performance conditions. These shares vest on December 20, 2022.
- 4. Each MSU will convert on the vesting date into common stock pursuant to the terms of the MSU award.
- 5. Reflects Restricted Stock Units (RSUs) that vest over four years, with one-sixteenth of the grant amount vesting on March 20, June 20, September 20, and December 20 of each year, commencing on March 20, 2023.
- 6. Each MSU represents the contingent right to receive, following vesting, between 0% and 200% of one share of the Issuer's common stock, subject to the level of achievement of applicable TSR performance conditions over a three-year period from fiscal 2020 through fiscal 2022.

By: Michelle Rankin For: Scott 12/15/2022 **Mcfeely** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.