Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL									
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-	hours per response.	0.5								

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* SMITH GARY B						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
SMITE		CIETAL CORT [ CIETA ]									:	X Director		10% Owner		wner				
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year) 03/20/2023								1		Officer (give title Other (specific below)  President, CEO				
C/O CIENA CORPORATION					<u> </u>										,					
7035 RIDGE RD.						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)															X Form filed by One Reporting Person					
HANOV	HANOVER MD 21076														Form filed by More than One Reporting Person					
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication															
					Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.															
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or I	Bene	ficia	lly Owi	ned				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da					Execution Date,		Transaction Disposed Code (Instr. 5)		ies Acquired (A) o Of (D) (Instr. 3, 4					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
								v	Amount	(A) (D)	or	Price	Trans	action(s) 3 and 4)			(Instr. 4)			
Common Stock 03.					20/2023				F		1,780(1)	1	D	\$50.2	2 47	75,084 <sup>(2)</sup>		D		
Common Stock 03/20/					2023				F		1,465(3)	]	D	\$50.2	2 47	473,619(2)		D		
Common Stock 03/20					2023				F		1,123(4)	I	D	\$50.2	2 47	472,496(2)		D		
Common Stock 03/2				03/20/2	/2023				F		2,022(5)	]	D	\$50.2	470,474(2)			D		
		Tal									osed of, convertib				y Owne	d				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  3. Deemed Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  3. Deemed Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  4. Transaction Code (Instr. 8)  5. Numb (Acquire (A) or Dispose of (D) (Instr. 3 and 5)			rative rities rired r osed )							3. Price of Derivative Security Instr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)					
					Code V (		(A)	(D)	Date Exercis	able	Expiration Date	Title	Amo or Num of Shar	ber						

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2019. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/19/2019
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/18/2020.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/16/2021
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/15/2022

## Remarks:

By: Michelle Rankin For: 03/21/2023 Gary B Smith

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.