## FORM 4

obligations may continue. See

Instruction 1(b)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5

OMB APPROVAL		
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or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person CIENA CORP [ CIEN ] **NETTLES PATRICK H** Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (Middle) (First) 02/20/2019 C/O CIENA CORPORATION 7035 RIDGE RD. 6. Individual or Joint/Group Filing (Check Applicable Line) 4. If Amendment, Date of Original Filed (Month/Day/Year) (Street) X Form filed by One Reporting Person 21076-1426 **HANOVER** MD Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature **Execution Date** Transaction Securities Form: Direct of Indirect (Month/Day/Year) if any Code (Instr. Beneficially (D) or Indirect Beneficial 8) Owned Following (I) (Instr. 4) (Month/Day/Year) Ownership Reported (Instr. 4) Transaction(5) (Instr. 3 and 4) (A) or (D) Price Code Amount \$42.2085(2) Common Stock 02/20/2019 10,000(1) 413,865(3) D S D Common Stock 02/20/2019 S 5,000(1) \$42.2048(4) 408,865(3) D D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 7. Title and 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 8. Price of 9. Number of 10. 11. Nature Derivative Conversion Execution Date Expiration Date Amount of Ownership (Month/Day/Year) Security or Exercise if anv Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Form: Beneficial Beneficially Direct (D) (Instr. 3) (Month/Day/Year) Price of 8) Securities Underlying (Instr. 5) Ownership Derivative Acquired Derivative Owned or Indirect (Instr. 4) Security (Instr. 3 and 4) Following Security (A) or Disposed (I) (Instr. 4) Reported of (D) (Instr. 3, 4 Transaction(s)

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 01/15/2019.
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$41.65 to \$42.51. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

Date

Exercisable

Expiration

Title

and 5)

(A) (D)

- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 4. Reflects the weighted average sales price with transactions in a range of sales from \$41.65 to \$42.56. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

By: Michelle Rankin For: 02/22/2019 Patrick H. Nettles

(Instr. 4)

\*\* Signature of Reporting Person Date

Amount Number

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.