(Last)

(Street) **HANOVER** 

(City)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

(First)

MD

(State)

**Table** 

C/O CIENA CORPORATION

7035 RIDGE RD.

1. Title of Security (Instr. 3)

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D	.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
hours per response: 0.5									

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b). 1. Name and Address of Reporting Person\* DiPerna Dino

n*		2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
		CIL	<u> </u>	OILIV ]			Director	10% C	Owner			
(Middle)			e of Earliest Transa 5/2023	action (Month	Day/Year)	X	Officer (give title below)	below	(specify )			
							SVP GIO	bal R&D				
		4. If A	mendment, Date of	Original Filed	i (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
						X	Form filed by One Reporting Person					
21076-1	426						Form filed by More than One Reporting Person					
(Zip)		Rule	e 10b5-1(c)	Transact	tion Indication							
		Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										
e I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
	2. Transaction Date (Month/Day/Ye		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Form: Directly (D) or Indi Owned Following Penorted (I) (Instr. 4		7. Nature of Indirect Beneficial Ownership			

			(Month/Day	y/Year) if a			Instr.	5)					(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				v			Amount	(A) or (D)	Price	Transa	ction(s) 3 and 4)		(111511. 4)		
Common	Stock		11/15/2	2023		S		1,688(1)	D	\$45.96	26	,989 <sup>(2)</sup>	D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative	2. Conversion	3. Transaction Date	3A. Deemed Execution Date,	4. Transaction	5. Number of	6. Date Expirat			7. Title an		Price of erivative	9. Number o derivative	of 10. Ownership	11. Nature of Indirect	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv	r osed ) r. 3, 4	Expiration Date (Month/Day/Year) es d		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Amount of Securities Underlying Derivative Security (Instr.		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 10/11/2023
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 11/16/2023 Dino Diperna

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.