SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Instruction 1(b).		F	iled pursuant to Section 16(a) of the Securities Exchange Act of 1934								
			or Section 30(h) of the Investment Company Act of 1940								
1. Name and Add <u>McFeely Sc</u>	Iress of Reporting I COTT	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CIENA CORP</u> [ CIEN ]		k all applicable) Director	10% Owner					
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. (Street) HANOVER MD 21076-1426 (City) (State) (Zip)		. ,	3. Date of Earliest Transaction (Month/Day/Year) 03/17/2020		Officer (give title below) SVP, Networ	e Other (specify below) rking Platforms					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
		Table I - Non-Deri	ivative Securities Acquired, Disposed of, or Ben	eficially	v Owned						

## 1. Title of Security (Instr. 3) 2A. Deemed Execution Date, 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of Securities 6. Ownership Form: Direct (D) or 7. Nature of Indirect Beneficial 2. Transaction Da Transaction (Month/Day/Year) Beneficially if any Code (Instr. Owned Following Reported Ownership (Instr. 4) (Month/Day/Year) 8) Índirect (I) (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) Price Code v Amount 03/17/2020 103,909(2) **Common Stock** S 800(1) D \$36.752 D 03/17/2020 s **300**<sup>(1)</sup> \$36,7434(3) 103,609(2) D **Common Stock** D 300(1) 103,309(2) D Common Stock 03/17/2020 S D \$32.19

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5) Beneficially Owned Following Reported	Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 04/05/2019 and amended 12/31/2019.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

3. Reflects the weighted average sales price with transactions in a range of sales from \$36.7401 to \$36.7500. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

By: Michelle Rankin For: <u>O</u>

03/18/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

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