FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to	STAT
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name an SMITH		2. Issuer Name and Ticker or Trading Symbol  CIENA CORP [ CIEN ]									all applicable) Director		g Person(s) to Issuer  10% Owner						
(Last) C/O CIE 7035 RIE		3. Date of Earliest Transaction (Month/Day/Year) 02/05/2020								X	belov	,	othe belov ent, CEO	r (specify V)					
(Street) HANOVER MD 21076-1426 (City) (State) (Zip)					- 4. II	4. If Amendment, Date of Original Filed (Month/Day/Year)								ine) X					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye					.	Execution Date,		·	3. Transaction Code (Instr. 8)  4. Securities <i>I</i> Disposed Of (			Acquired (D) (Instr	d (A) or r. 3, 4 and 9	d 5) Sec Ben		ount of rities ficially ed Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A) or (D)	Price		Trans	action(s) 3 and 4)		(1130.4)	
Common Stock 02/05/203						20			S		4,250(1)	D	\$42.80	83 <sup>(2)</sup>	490,576 <sup>(3)</sup>		D		
Common Stock 02/06/20					020	20			S		4,250(1)	D	\$43.25	87(4)	48	36,326 <sup>(3)</sup>	D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execu if any	3A. Deemed Execution Date, if any (Month/Day/Year)		action (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expi	nte Exer ration D nth/Day/		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			vative irity r. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exer	cisable	Expiration Date	Title	or Number of Shares						

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 9/13/19.
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$42.62 to 43.17. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 4. Reflects the weighted average sales price with transactions in a range of sales from \$42.75 to \$43.545. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

By: Michelle Rankin For: Gary <u>02/07/2020</u> B Smith

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.