FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

vvasimigtori,	D.C. 2007	3	

OMB APPR	OVAL							
OMB Number:	3235-028							
Estimated average burden								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

37 hours per response: 0.5

1. Name and Address of Reporting Person*  PETRIK ANDREW C						2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ]								Check	all app	licable)		Olssuer Olympia		
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.					3. Date of Earliest Transaction (Month/Day/Year) 09/16/2019										X	belov	v)		below)	
(Street) HANOVER MD 21076-142				26	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person							
(City)	(St		Zip)		<u> </u>															
		Tabl	e I - Nor	1-Deriv	ative	Se	curitie	s Acq	uired,	Dis	posed o	f, or	Bene	eficia	ally (	Owne	ed			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)						Execution Date		n Date,	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)			4 and Se Be Ov		ount of ties cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount		(A) or (D)	Price	•	Reported Transaction(s) (Instr. 3 and 4)			(111501.4)	
Common Stock 09/16					6/2019				S		2,000	(1)	D	\$4	10	51,479(2)		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	Date, Transactio Code (Inst			n of		6. Date E: Expiration (Month/D	n Date	•	7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		ount		ivative urity tr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction( (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)	
Code V		v	(A)		Date Exercisal		Expiration Date	Title	Nun of Sha											

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 06/17/2019.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Erik Lichter For: Andrew C. Petrik

09/17/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.