FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| vvasimigton, | D.O. | 200-0 | , | |
|--------------|------|-------|---|--|
| | | | | |
| | | | | |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Rothenstein David M | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | ationship of Reporting k all applicable) Director Officer (give title | | 10% Owner Other (speci | | vner |
|---------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------|-------|---------------------------------------------------------------|-----------|---------------------------------------------------------------------------------------|--------------------------------------------------|-----------------|-------------------------------------------------------------------|--------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|------------|
| (Last) C/O CIE | (F NA CORPO | First) ORATION | (Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 12/12/2017 | | | | | | | | below) below) Sr. VP, General Counsel | | | | | |
| 7035 RIDGE RD. | | | | | | | | | | | | | \perp | | | | | |
| (Street) | FR M | fD | 21076-142 | 6 | If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Ind Line) | | (Check App rting Person | | | | |
| (City) | | State) | (Zip) | | | | | | | | | | Form file Person | ed by Mor | e than | One Report | ting | |
| (=.5) | | | | 2 Doriva | tivo S | Contrition | ς Λος | uirod | Dic | nocod o | of or | Pone | ficially | Owned | | | | |
| 1. Title of Security (Instr. 3) 2. Trai | | | 2. Transac | vative Securities Acquesaction ZA. Deemed Execution Date, if any (Month/Day/Year) | | 3. 4. Securi Transaction Disposed Code (Instr. | | rities Acquired (A) or ed Of (D) (Instr. 3, 4 an | | (A) or | 5. Amoun Securities Beneficial Owned Fo | s lly | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | | | | Code | v | Amount | | A) or D) | Price | Reported Transaction (Instr. 3 au | on(s) nd 4) | | | (Instr. 4) |
| Common Stock 12/ | | | | 12/12/ | 2/2017 | | A | | 20,232(1) | | A | \$0.0 | 279,765 ⁽²⁾ | | | D | | |
| Common Stock 12/ | | | 12/12/ | /2017 | | A | | 28,695 ⁽³⁾ | | A | \$0.0 | 308,460(2) | | D | | | | |
| Common Stock 12/1: | | | 12/12/ | 2017 | | A | | 5,984(4) | | A | \$0.0 | 314,444(2) | | D | | | | |
| | | | Table II - | | | curities Ils, warr | | | | | | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date if any (Month/Day/Yea | Code (Instr. | | Derivative E | | s. Date Exercisable and Expiration Date Month/Day/Year) | | 7. Title and Amou of Securities Underlying Deriva Security (Instr. 3 a 4) | | Derivative | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securitie Beneficia Owned Following Reported Transacti | /e Ces Fially Cong (I | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | e V | (A) | | Date Exercisabl | | Expiration Date | Title | 0 | mount r lumber of hares | er of | (Instr. 4) | on(a) | | |
| Market Stock Units | \$0.0 | 12/12/2017 | | A | | 11,478 ⁽⁵⁾ | | (6) | | (6) | Comn | | 1,478 ⁽⁵⁾ | \$0.0 | 11,478 | (5) | D | |

Explanation of Responses:

- 1. Reflects the number of stock units earned pursuant to the terms of a performance stock unit award granted on 12/14/2016 reflecting the achievement of the related performance conditions. These shares vest in equal 1/3 amounts on each December 20, 2017, 2018, and 2019.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Reflects Restricted Stock Units (RSUs) that vest over four years, with one-sixteenth of the grant amount vesting on March 20, June 20, September 20, and December 20 of each year, commencing on March 20,
- 4. Reflects the number of stock units earned pursuant to the terms of a performance stock unit award granted on 12/17/2014 reflecting the achievement of the related performance conditions for the applicable performance period. These shares will vest on December 20 following the performance period.
- 5. Each market stock unit (MSU) represents the contingent right to receive, following vesting, between 0% and 200% of one share of the Issuer's common stock, subject to the level of achievement of applicable total shareholder return (TSR) performance conditions over a three-year period from fiscal 2018 through fiscal 2020.
- 6. The MSUs will vest on December 20, 2020, subject to the performance conditions described above.

By: Michelle Rankin For: David M. Rothenstein

12/14/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.