FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington	D.C. 20549	

OMB APPROVAL

	OMB Number:	3235-0287
ı	Estimated average but	urden
ı	hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(n). See Instruction 1

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1(c). S	ee Instruction 1	0.																	
Name and Address of Reporting Person*     Phipps Jason			2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify									
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.					3. Date of Earliest Transaction (Month/Day/Year) 09/20/2024								below)  SVP Global Sales and Marketing						
(Street) HANOVER MD 21076-1426				4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indi Line)	<u>,                                      </u>						
(City)	(St	ate) (Ž	Zip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day			Execution Date,		3. 4. Securities Acquir Transaction Disposed Of (D) (Ins Code (Instr. 8) 5)				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)						
								Code	v	Amount	(A) or (D)	Pri	ce	Transa	ction(s) and 4)				
Common	Stock			09/20/2	2024			F		554(1)	D	\$:	58.73	95	,446 <sup>(2)</sup>	D			
Common Stock 09/20/				09/20/2	2024					741(3)	D	\$:	\$58.73 94		705(2)	D			
Common Stock 09			09/20/2	0/2024					867(4)	D	\$:	58.73	93	838(2)	D				
Common Stock 09/20			09/20/2	2024			F		489(5)	D	\$	58.73	93,349(2)		D				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date, Tr ty or Exercise (Month/Day/Year) if any		4. Transa Code (I 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration Da		ate	7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		Der Ser (Ins	Price of rivative curity str. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owner Form: Direct or Ind (I) (Ins	(D) rect	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## **Explanation of Responses:**

1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/18/2020.

(D)

Date

Exercisable

Expiration Date

Title

- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/15/2022.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2023. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/14/2023.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/16/2021.

By: Michelle Rankin For: Jason Phipps

Shares

09/23/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.