FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* SMITH GARY B | | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | all app | | ng Pe | 10% O | wner |
|--|--|-------|-------|--|---|---|--------|---|--|-------|----------------------|--------------------------|--|---|--|---------------------------------------|--|---|----------|
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/23/2023 | | | | | | | | | X Officer (give title Other (specify below) President, CEO | | | | | |
| (Street) HANOVER MD 21076-1426 (City) (State) (Zip) | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| | | Table | I - N | on-Deriva | tive S | Secur | rities | Ac | quire | d, Di | sposed o | f, or E | Benefic | ially | Own | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Ye | | | | | Execution Date, | | е, | 3. Transaction Code (Instr. 8) | | | Acquire (D) (Inst | d (A) or tr. 3, 4 and | ind 5) Secur Benef | | cially d Following | Forn (D) c | wnership m: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | Trai | | action(s) . 3 and 4) | | | (moa. 4) |
| Common Stock 02/23/202 | | | | 23 | | | | s 3,541 ⁽¹⁾ | | D | \$47.76 | 7.762(2) | | 480,405(3) | | D | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | /e Conversion Date Execution Date, or Exercise (Month/Day/Year) if any | | | saction of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | Expiration Date | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4) Amoun or Numbe of Title Shares | | • | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | | |

Explanation of Responses:

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 3/9/2022
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$47.3200 to \$48.7800. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 02/24/2023 Gary B Smith

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.