| SEC Form 4 |  |
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See |
|---|
| Instruction 1(b).   |
|   |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

| Estimated average burden |     |
|--------------------------|-----|
| hours per response:      | 0.5 |

| 1. Name and Addre<br>MOYLAN J          | ess of Reporting Personant Strand Stran<br>Strand Strand Stra | 'n*                 | 2. Issuer Name and Ticker or Trading Symbol <u>CIENA CORP</u> [ CIEN ] |                        | tionship of Reporting P<br>all applicable)<br>Director                            | erson(s) to Issuer<br>10% Owner     |
|--|---|---------------------|--|------------------------|---|-------------------------------------|
| (Last)<br>C/O CIENA CC<br>7035 RIDGE R |   | (Middle)            | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/11/2019         | - X                    | Officer (give title<br>below)<br>SVP Finance                                      | Other (specify<br>below)<br>ce, CFO |
| (Street)<br>HANOVER<br>(City)          | MD<br>(State)   | 21076-1426<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)               | 6. Indiv<br>Line)<br>X | idual or Joint/Group Fi<br>Form filed by One R<br>Form filed by More tl<br>Person | eporting Person                     |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities /<br>Disposed Of (<br>5) |   |         | Securities<br>Beneficially         | Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------------|---|--|---|---------|------------------------------------|---|---|
|                                 |  |   | Code                         | v | Amount (A) or Price                    |   | Price   | Transaction(s)<br>(Instr. 3 and 4) |   | (11311.4)   |
| Common Stock                    | 06/11/2019                                 |   | S                            |   | 2,000 <sup>(1)</sup>                   | D | \$44.36 | 356,544 <sup>(2)</sup>             | D   |   |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | ative<br>rities<br>ired<br>osed<br>. 3, 4 | 6. Date Exerc<br>Expiration Da<br>(Month/Day/) | Amount of<br>Securities |       | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|--|---|--|-------------------------|-------|--|--|--|--|
|   |   |  |   | Code                         | v | (A)  | ,)<br>(D)                                 | Date<br>Exercisable                            | Expiration<br>Date      | Title | Amount<br>or<br>Number<br>of<br>Shares   |  |  |  |

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 12/20/2018.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

### By: Michelle Rankin For: James E. Moylan Jr.

06/12/2019

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.