Instruction 1(b).

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										
hours per response	: 0.5									

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Rothenstein David M</u>					2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]										k all app Direc	,	ng Per	rson(s) to Is 10% Ov Other (s	vner
(Last)	(Fii	, ,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/16/2023									X	belov		Strat	below)	
7035 RIDGE RD.					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) HANOVER MD 21076-1426				426		X Form filed by One Reporting Person Form filed by More than One Reporting Person													
(City) (State) (Zip)						Check	this box	k to indi	cate that	a tran	tion Indi saction was m ions of Rule 1	nade pu	rsuant to			ruction or writ	tten pla	an that is inte	ended to
		Table	I - No	n-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	or B	enefic	ially	<b>Own</b>	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day					Execution Date,		Oate,	3. Transaction Code (Instr. 8)  4. Securities A Disposed Of (I 5)					and Securit Benefic Owned		ties cially I Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	unt (A) or (D)		е	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock 10/16/2				2023		S		3,500(1)	D \$4		2.45	220,159 <sup>(2)</sup>			D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date, or Exercise (Month/Day/Year) Execution Date, if any		ion Date,		ransaction of Code (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		Dei Sec (Ins	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	(A) (D)		Date Exercisable		Expiration Date	Title	of Shares	1						

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 12/15/2022.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: David M Rothenstein

10/17/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.