| SEC Form 4 |
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|  | OMB APPROVAL  |  |  |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|--|--|
|  | OMB Number: 3235-0287                               |  |  |  |  |  |  |  |  |  |  |
|  | Estimated average burden<br>hours per response: 0.5 |  |  |  |  |  |  |  |  |  |  |
|  |   |  |  |  |  |  |  |  |  |  |  |

| Instruction 1(b                       | ay continue. See | File                   | ed pursuant to Section 16(a) of the Securities Exchange Act of 1       | 1934 hours per response: 0.5   |  |  |  |  |  |
|---------------------------------------|------------------|------------------------|--|--|--|--|--|--|--|
|                                       |                  |                        | or Section 30(h) of the Investment Company Act of 1940                 |  |  |  |  |  |  |
| 1. Name and Add <u>Yang Mary</u>      | ress of Reportir | ng Person <sup>*</sup> | 2. Issuer Name and Ticker or Trading Symbol <u>CIENA CORP</u> [ CIEN ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner |  |  |  |  |  |
| (Last)<br>C/O CIENA C<br>7035 RIDGE 1 |                  | (Middle)               | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/01/2020         | X Sincer (give the below)<br>below)<br>SVP, Chief Strategy Officer                               |  |  |  |  |  |
|                                       |                  |                        | 4. If Amendment, Date of Original Filed (Month/Day/Year)               | 6. Individual or Joint/Group Filing (Check Applicable Line)                                      |  |  |  |  |  |
| (Street)<br>HANOVER                   | MD               | 21076-1426             | _  | X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person          |  |  |  |  |  |
| (City)                                | (State)          | (Zip)                  |  |  |  |  |  |  |  |
|                                       |                  | Table I - Non-Deriv    | vative Securities Acquired, Disposed of, or Be                         | eneficially Owned  |  |  |  |  |  |
|                                       |                  |                        |  |  |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | Securities<br>Beneficially<br>Owned Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|------------------------------|---|---|---------------|-------|--|---|---|
|                                 |  |   | Code                         | v | Amount  | (A) or<br>(D) | Price | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   | (Instr. 4)  |
| Common Stock                    | 05/01/2020                                 |   | A                            |   | 28,996 <sup>(1)</sup>   | Α             | \$0.0 | 28,996 <sup>(2)</sup>                          | D   |   |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |                              |   |                 |     |  |                    |   |  |   |  |  |  |
|--|---|--|---|------------------------------|---|-----------------|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number<br>of |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |   | Code                         | v | (A)             | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

1. Reflects Restricted Stock Units (RSUs) that vest over four years, with one-quarter of the grant amount vesting on June 20, 2021, and thereafter with one-sixteenth of the grant amount vesting on March 20, June 20, September 20 and December 20 of each succeeding year.

2. Shares reported include unvested Restricted Stock Units (RSUs).

By: Michelle Rankin For: Mary Yang

05/05/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.