SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number:	3235-0287
Estimated average t	ourden
hours per response:	0.5

Obligations ma Instruction 1(b)	ay continue. See	File	d pursua	ant to Section 16(a)		hours p	per response:	0.5			
(1	,	1 110		ection 30(h) of the Ir							
1. Name and Address of Reporting Person*   PETRIK ANDREW C				tuer Name <b>and</b> Tick	0	Symbol		ationship of Re all applicable Director Officer (give	10% Owner		Owner
(Last) C/O CIENA C 7035 RIDGE I		(Middle)	(Middle) (Middle) 2. Issuer Na CIENA 3. Date of Ea 01/14/2022 4. If Amendr 21076-1426 (Zip) Cienal C	te of Earliest Trans 4/2022	action (Month/	/Day/Year)		below) VP, C	ı) t VP, CONTROLLE		)
			4. lf A	Amendment, Date o	f Original Filed	d (Month/Day/Year)		/idual or Joint/	Group	Filing (Check	Applicable
(Street) HANOVER	MD	21076-1426					Line)			Reporting Per e than One Rej	
(City)	(State)	(Zip)						1 013011			
		Table I - Non-Deriva	ative S	Securities Acq	uired, Dis	posed of, or Benet	ficially	Owned			
1 Title of Securit	ty (Instr 3)	2 Transa	ction	24 Deemed	3	4 Securities Acquired (A	) or	5 Amount of		6 Ownershin	7 Nature

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	01/14/2022		S		1,000(1)	D	\$71.81	<b>36,816</b> <sup>(2)</sup>	D	

		Tal	ble II - Derivati (e.g., pu					options, o							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 9/9/2021.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

## By: Michelle Rankin For: Andrew C. Petrik

01/18/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.