FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Washington, D.C. 20040

| OMB APPROVAL | | | | | | | | |
|---------------------|-----------|--|--|--|--|--|--|--|
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0.5

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* HAMILTON RICK (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. (Street) | | | | | 3. D. 03/2 | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] 3. Date of Earliest Transaction (Month/Day/Year) 03/21/2018 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | X | all app Direct Office below SN | er (give title | re and S | 10% C Other below) Service | Owner (specify) S |
|---|--|------|-------------------------------|---------|--|--|--|-------|---|---|---------|-------|-------------|---------|---|--|---|--|---|-----------------------------|
| (City) | | | 21076-14 Zip) | .26 | | | | | | | | | | | | Form filed by More than One Reportin Person | | | | |
| | | Tabl | e I - Noi | n-Deriv | ative | Se | curiti | es Ac | quired, | Dis | posed o | f, or | Ben | eficia | ally (| Dwne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transar Date (Month/Da | | | | | ay/Year) Exe | | 2A. Deemed Execution Date, f any Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | | | | nd | 5. Amount of Securities Beneficially Owned Following Reported | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | | | v | Amount | | A) or D) | Price | - 1 | Transaction(s) (Instr. 3 and 4) | | | | (111511.4) |
| Common Stock 03/21 | | | | | /2018 | | | | S | | 444(1) | | D | \$26.58 | | 63,452 ⁽²⁾ | | Г |) | |
| Common Stock 03/2 | | | | 03/21 | /2018 | | | | S | | 223(3) | | D | \$26.58 | | 63,229(2) | | D | | |
| Common Stock 03/21 | | | | /2018 | | | | | | 517(4) | | D | \$26.58 | | 62,712(2) | | D | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | e Conversion or Exercise Price of Derivative Security Execution Date, if any (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | 4. Transa Code (I 8) | Instr. | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expiration Exercisable Date | | | Amount of Securities Underlying Derivative Security (Instr. and 4) | | ount | | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Owr Forr Dire or Ir (I) (II | nership n: ct (D) ndirect nstr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |

Explanation of Responses:

- 1. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 11/7/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 on 3/1/2017.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 on 3/1/2017.
- 4. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.

By: Michelle Rankin For: Rick Hamilton 03/23/2018

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.