FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>ALEXANDER STEPHEN B</u>						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN]									5. Relationshi (Check all app Direct		olicable) ctor	109	ó Owner
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.					3. Date of Earliest Transaction (Month/Day/Year) 09/20/2019										X	Officer (give title Other (spec below) below) SVP, Chief Technology Officer			ow) ်
Street) HANOVER MD 21076-142 (City) (State) (Zip)			26	4. If Amendment, Date of Original Filed (Month/Day/Year)									. Indivi ine) X	idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Diameter Cooking (mount)				Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			1 and Secur Benef		cially d Following	6. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect
									Code	v	Amount	(,	A) or D)	Price		Transa	action(s) 3 and 4)		(instr. 4)
Common Stock 09/20/)/2019	2019			F	436			D	\$39.58		99,507(2)		D	
Common Stock 09/20					/2019				F		468(3)		D	\$39.58		99,039(2)		D	
Common Stock 09/20					/2019				F		528 ⁽⁴⁾		D	\$39.58		98,511(2)		D	
Common Stock 09/20/)/2019						469(5)		D	\$39.58		98,042(2)		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year) (Month/Day/Year)		Date,		saction of e (Instr. Sec Acq (A).) Disj of (I (Ins and		osed) :. 3, 4	Expiratio (Month/D	6. Date Exercisa Expiration Date (Month/Day/Yea Date Exercisable		Amo Secu Unde Deriv Secu and	7. Title and Amount of Securities Underlying Derivative Security (Instrand 4) Amount or Numbe of Shares				9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownersh Form: Direct (D or Indire (I) (Instr.	Beneficial Ownership (Instr. 4)

Explanation of Responses:

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/15/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/17/2015.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2016.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/18/2018. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2018.

By: Erik Lichter For: Stephen

09/23/2019

B. Alexander

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.