Instruction 1(b).

FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, D | D.C. 20549 |
|---------------|------------|
|---------------|------------|

| Check this box if no longer subject | STATEMENT O |
|-------------------------------------|-------------|
| to Section 16. Form 4 or Form 5     |             |
| obligations may continue. See       |             |

## F CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  ALEXANDER STEPHEN B         |   |       |              |                                       | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ] |   |  |      |                                     |   |                         |                           |   |                                    | k all app<br>Direc  | ,  | ng Pers  | son(s) to Is  10% O  Other ( | wner |
|---|---|-------|--------------|---------------------------------------|---|---|--|------|-------------------------------------|---|-------------------------|---------------------------|---|------------------------------------|---|--|--|------------------------------|------|
| (Last) (First) (Middle) C/O CIENA CORPORATION                         |   |       |              |                                       |   | 3. Date of Earliest Transaction (Month/Day/Year) 06/15/2022 |  |      |                                     |   |                         |                           |   |                                    | belov   | below) SVP, Chief Techno   |  | below)                       | `    |
| 7035 RIDGE RD.  (Street)  HANOVER MD 21076-1426  (City) (State) (Zip) |   |       |              |                                       | 4. If A   | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |  |      |                                     |   |                         |                           |   | Line)                              | Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |                              |      |
|   |   | Table | I - No       | n-Deriva                              | tive S  | Secu  | rities   | Acq  | uired,                              | Dis   | posed of                | , or E                    | Benefi  | icially                            | y Own   | ed   |  |                              |      |
| 1. Title of Security (Instr. 3)  2. Transact Date (Month/Day          |   |       |              |                                       | Deemed<br>cution Date,<br>y<br>uth/Day/Year)                    |   |  |      | es Acquired (A<br>Of (D) (Instr. 3, |   |                         | Securi<br>Benefi<br>Owned | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported |                                    | : Direct  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |  |                              |      |
|   |   |       |              |                                       |   |   |  | Code | v                                   | Amount  | ınt (A) oı<br>(D)       |                           | ice   | Transaction(s)<br>(Instr. 3 and 4) |   |  |  | (11341.4)                    |      |
| Common Stock 06/15/2  |   |       |              | 2022                                  |   |   |  | S    |                                     | 2,000(1)  | ,000 <sup>(1)</sup> D S |                           | 43.73   | 33,600 <sup>(2)</sup>              |   |  | D  |                              |      |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)    |       |              |                                       |   |   |  |      |                                     |   |                         |                           |   |                                    |   |  |  |                              |      |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                   | 2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  3. Deemed Execution Date, if any (Month/Day/Year) |       | Code (<br>8) | ransaction of Code (Instr. Derivative |   | vative<br>irities<br>ired<br>r<br>osed<br>)<br>r. 3, 4      | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date |      |                                     | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)  Amoun or Numbe of Title Shares |                         | nt<br>er                  |   |                                    |   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                              |      |

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 6/23/2020.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 06/16/2022 Stephen B Alexander

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.