## FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  ALEXANDER STEPHEN B						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									heck all	ionship of Reporting all applicable) Director Officer (give title		109	o Issuer 6 Owner er (specify
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.					3. Date of Earliest Transaction (Month/Day/Year) 09/20/2018										A t	SVP	below) P, Chief Technology Officer		ow) ` ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´ ´
(Street) HANOVER MD 21076-142 (City) (State) (Zip)			26	4. If Amendment, Date of Original Filed (Month/Day/Year)									ne) <mark>X</mark> I	vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Da				Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Code	Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			4 and Secur Bene		cially I Following	6. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect
									Code	v	Amount	(,	A) or D)	Price	Tr	ansa	ction(s) 3 and 4)		(iiisti. 4)
Common Stock 09/20/					)/2018	2018			F		498(1)		D	\$30.99		115,428 <sup>(2)</sup>		D	
Common Stock 09/20/					)/2018						436(3)		D	\$30.	99	114,992(2)		D	
Common Stock 09/20/					)/2018						468(4)		D	\$30.99		114,524 <sup>(2)</sup>		D	
Common Stock 09/20/					)/2018						561(5)		D	\$30.99		113,963 <sup>(2)</sup>		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year) if any (Month/Day/Year)		Date,	Code (Instr.		n of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expiration (Month/II)  Date Exercise	on Date		7. Title and Amount of Securities Underlying Derivative Security (Instrand 4)  Amount or Numb of Share		ount nber	8. Price Derivat Securit (Instr. 5	ive	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownersh Form: Direct (D or Indire (I) (Instr.	Beneficial Ownership t (Instr. 4)	

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/15/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/17/2015.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2016.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2014. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2014.

By: Michelle Rankin For: Stephen B. Alexander

09/21/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.