SEC Form 4
------------

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

		STA		IT OF CHANGES IN BENEFICIAL OWNERSHIP   OMB Number:   3235-02     pursuant to Section 16(a) of the Securities Exchange Act of 1934   OMB Number:   3235-02										
1. Name and Addre PETRIK AN (Last) C/O CIENA CO 7035 RIDCE R	(First)	erson* (Middle)		2. Issu <u>CIE</u> 3. Dat	tion 30(h) of the Ir aer Name <b>and</b> Tick <u>NA CORP</u> [ e of Earliest Trans )/2020	er or Trading CIEN ]	-	Integration 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   Integration 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   Integration Director 10% Owner   X Officer (give title Other (specify below)   VP, CONTROLLER VP, CONTROLLER   Ionth/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line)						
7035 RIDGE RD.   (Street)   HANOVER MD   21076-1426   (City) (State)   (Zip)					mendment, Date o	f Original Filed	d (Month/Day/Year)	Line) X	Form filed b	y One	Filing (Check / Reporting Pers e than One Rep	son		
	Т	able I - Nor	n-Derivati	ive S	ecurities Acq	uired, Dis	posed of, or Benef	ficially C	Dwned					
1. Title of Security	r (Instr. 3)	2. Transactio Date (Month/Day/		2A. Deemed Execution Date, if any	3. Transaction		4 and S	. Amount of Securities	- 1	6. Ownership Form: Direct	7. Nature of Indirect Beneficial			

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (	e (Instr. 5)		Securities Beneficially Owned Following Reported	(D) or Indirect	of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	07/20/2020		S		1,000(1)	D	\$54.62	<b>38,</b> 171 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A) (D)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 06/18/2020.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

## By: Michelle Rankin For: Andrew C Petrik

07/20/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.