FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | | |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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|--|---|------|--|--|---|------------|---|------------|---|----------|---------------------|-----------------|--------|--|---|------------------------|---|---|--|--|
| 1. Name and Address of Reporting Person* FRODSHAM JAMES | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | | 5. Relationship of Reporting Person(s) to Issue (Check all applicable) Director 10% Own | | | | | | |
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 01/17/2017 | | | | | | | | | | X | Office | icer (give title | | (specify | |
| (Street) HANOVER MD 21076-142 (City) (State) (Zip) | | | | 26 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | Indivine) | · | | | | |
| | | Tabl | e I - Noi | n-Deriva | ative S | ecu | ritie | s Acq | uired | , Dis | posed o | f, o | or Ben | efici | ally | Owne | ed | | | |
| Date | | | 2. Transa Date (Month/Da | Day/Year) Execu | | | a. Deemed ecution Date, any onth/Day/Year) | | 3. Transaction Code (Instr. 8) 4. Securit Disposed 5) | | | | | nd | 5. Amount of Securities Beneficially Owned Following | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | Code | v | Amount | | (A) or (D) | Price | | Reported Transaction(s) (Instr. 3 and 4) | | | (Instr. 4) | | |
| Common Stock 01/17, | | | | | /2017 | | | S | | 2,000(1) | | (1) D \$ | | .25 | 25 175,493 ⁽²⁾ | | D | | | |
| | | Та | | | | | | | | | sed of, onvertib | | | | y Ov | vned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deem Execution if any (Month/Da | Date, Tr | Transacti Code (Ins | on str. | | | 6. Date I Expirati (Month// | on Dat | | or | | | 8. Prio Deriva Secur (Instr. | rative rity . 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |

Explanation of Responses:

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 9/14/2016.
- $2. \ Shares \ reported \ include \ unvested \ Restricted \ Stock \ Units \ (RSUs) \ and \ Performance \ Stock \ Units \ (PSUs).$

By: Michelle Griswold For: James A. Frodsham

** Signature of Reporting Person Date

01/19/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.