FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549	

wasnington,	D.C. 20549	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL									
	OMB Number: 3235-0287									
	Estimated average burden									
ı	hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  HAMILTON RICK					2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ]									ationship of Reporting I c all applicable) Director Officer (give title below)			10% Ov Other (s	wner	
(Last) C/O CIE 7035 RII	(Fi NA CORP DGE RD.	,	/liddle)			3. Date of Earliest Transaction (Month/Day/Year) 03/20/2022									VP, Software and Services			5	
(Street) HANOV (City)			1076-14 Zip)	426	4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indi Line) X	iividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
		Table	I - Noi	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	oosed of	, or Be	enefic	ially	/ Own	ed			
Date			2. Transac Date (Month/Da	Execu ay/Year) if any		Deemed ecution Date, ny onth/Day/Year)				es Acquired (A Of (D) (Instr. 3,		4 and Securiti		rities F ficially (I ed Following (I		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D)	Pric	е	Transa (Instr. 3	ction(s)			(111501. 4)
Common Stock 03/2				03/20/2	2022 03		3/20/2022		F		295(1)	D	\$6	0.1	.1 75,729(2)			D	
Common	Stock			03/20/	2022 03		03/20/2022		F		389(3)	D	\$6	0.1	75,340 <sup>(2)</sup>			D	
Common	ommon Stock 03/20/2					2022 03		03/20/2022			359 <sup>(4)</sup>	D	\$6	0.1	74,981 <sup>(2)</sup>			D	
Common	Stock			03/20/	2022	03	3/20/2	2022	F		241 <sup>(5)</sup>	D	\$6	\$60.1 74,740 <sup>(2)</sup> D					
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	med on Date, Day/Year)		ransaction of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis. Expiration Date (Month/Day/Yea		te	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		8. Price of Derivative Security (Instr. 5)		9. Number derivative Securities Beneficiall Owned Following Reported Transaction (Instr. 4)	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 on 12/18/2020.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/18/2018. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 on 12/20/2018.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2019. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 on 12/19/2019.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 on 12/16/2021.

By: Michelle Rankin For: 03/22/2022 **Rick Hamilton** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.