SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>NEVENS THOMAS MICHAEL</u> | | | . Date of Event Requiring Staten Month/Day/Year 12/04/2014 | nent | 3. Issuer Name and Ticker or Trading Symbol CIENA CORP CIEN | | | | | | | |
|--|---------|------------|---|--------------------|--|----------------------------|--|---------------------------------------|--|--|---|--|
| (Last) (First) (Middle) C/O CIENA CORPORATION | | | | | A. Relationship of Reporting Person(s) to Is Check all applicable) X Director 10% Or | | | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | | |
| 7035 RIDGE RD. | | | | | | Officer (give title below) | Other (specify below) | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | |
| (Street) HANOVER | MD | 21076-1426 | | | | | | | Х | | y One Reporting Person y More than One erson | |
| (City) | (State) | (Zip) | | | | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | | | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | | | 2. Date Exercisable an Expiration Date (Month/Day/Year) | | ad 3. Title and Amount of Secur Underlying Derivative Secur | | | 4. Convers or Exerc Price of | cise | 5. Ownership Form: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| Explanation of R | | | Date Exercisable | Expiratior Date | n Title | | Amount or Number of Shares | Derivati Security | ive | or Indirect (I) (Instr. 5) | | |

No securities are beneficially owned.

By: Erik Lichter For: T. Michael Nevens

02/11/2014

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.