FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to Section 16. Form 4 or Form 5 | STATEMENT OF CH |
|--|----------------------|
| obligations may continue. See | |
| Instruction 1(b). | Filed pursuant to Se |

ANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* SMITH GARY B (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. | | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] 3. Date of Earliest Transaction (Month/Day/Year) 03/21/2018 | | | | | | | | | (Check al | | blicable) ctor | | Owner |
|--|---|---|--|------------|------------------------------|--|--|--------|------------------------|--|----------------------|---------------|--|---------------------------|--|---|---|---|--|
| | | | | | | | | | | | | | | | X | Officer (give title Other (specify below) PRESIDENT, CEO | | | |
| (Street) HANOV (City) | | | 21076-14 (Zip) | 26 | - 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | Indivi ne) X | idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| | | Tab | le I - No | n-Deriv | ative | Se | curiti | ies Ac | quired | , Dis | posed o | f, o | r Ben | eficia | ally C | Owne | ed | | |
| 1. Title of Security (Instr. 3) | | | 2. Transaction Date (Month/Day/Year) | | ar) i | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Code | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | | 4 and Secu Bene Own | | icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | Code | v | Amount | | (A) or (D) | Price | - 1 | Reported Transaction(s) (Instr. 3 and 4) | | | (11150.4) | |
| Common Stock | | | | 03/21/2018 | | 3 | | | S | | 3,636 | 1) | D | \$26.58 | | 682,287(2) | | D | |
| Common | mon Stock | | | | 03/21/2018 | | | | S | | 2,667 | 3) | D | \$26.58 | | 679,620 ⁽²⁾ | | D | |
| Common | Stock | | | 03/21 | /2018 | 2018 | | | | | 2,868(| 4) | D | \$26.58 | | 676,752 ⁽²⁾ | | D | |
| Common Stock | | | | 03/21/2018 | | 3 | | | S | | 3,777 ⁽⁵⁾ | | D | \$26. | .58 | 672,975(2) | | D | |
| | | Ta | | | | | | | | | sed of, onvertib | | | | y Ow | ned | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | ercise (Month/Day/Year) if any (Month/Day/Year) ative | | n Date, | 4. Transa Code (8) | (Instr | ion of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | Expiration (Month/IIII | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expiratio | | | Amount of Securities Underlying Derivative Security (Instr. and 4) Amoun or Number of | | nt er | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |

Explanation of Responses:

- 1. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/17/2014. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2014.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/15/2015.Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/17/2015.
- 4. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/14/2016. $Acquisition \ of the \ RSU \ was \ previously \ reported \ in \ Table \ I \ of \ reporting \ person's \ Form \ 4 \ on \ 12/16/2016.$
- 5. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.

By: Michelle Rankin For: Gary 03/23/2018 B. Smith

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.