FORM 4

Check this box if no longer subject to

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Estimated average burden

**OMB APPROVAL** 3235-0287 hours per response: 0.5

Section 16. Form 4 or Form 5			
obligations may continue. See			
Instruction 1(b).	Filed pursuant to Se	ection 16(a) of the S	Securities Exchange Act of 19
	or Section 30	O(h) of the Investme	ent Company Act of 1940

1. Name and Address of Reporting Person*  MOYLAN JAMES E JR				2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ]										k all app Direc	licable)	ng Pe	erson(s) to Is 10% Ov Other (s	wner
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.			3. Date of Earliest Transaction (Month/Day/Year) 09/20/2020								SVP Finance, CFO							
(Street) HANOV (City)			1076-1 Zip)	426	4. If Amendment, Date of Original Filed (Month/Day/Year)							)	6. Indi Line) X	<i>'</i>				
Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transact Date (Month/Day				tion 2A. Deemed Execution Date,			uired, Disposed of, or Benef  3.				or	5. Amo Securit Benefic	ount of ties cially Following	Forn (D) c	m: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership		
Common Stock			09/20/2	2020			Code	v	Amount 656 <sup>(1)</sup>	(A) (D)	_	t1.03	(Instr. 3	ed ction(s) 3 and 4) 3,293 <sup>(2)</sup>		D	(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execut Security or Exercise (Month/Day/Year) if any		amed 4. Transaction Code (Instr					6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Insi 3 and 4)		8. Price of Derivative Security (Instr. 5)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V (A) (D)		(D)			Expiration Date	Title	Amous or Number of Shares	per							

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2016.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 09/21/2020 James E. Moylan Jr.

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.